Non-commutative structures and operators

## on a Hilbert space

D.Treschev

Steklov Mathematical Institute, Moscow, Russia.

Luxembourg, September 2009

## Motivation

Consider the operators of the coordinate and the momentum of a quantum particle

$$
\hat{x}_{j} \psi=x_{j} \psi(x), \quad \hat{p}_{j} \psi=-i \hbar \frac{\partial}{\partial x_{j}} \psi(x), \quad x \in \mathbb{R}^{n} .
$$

They generate the Heisenberg algebra of polynomial (non-commutative) expressions in $\hat{x}_{j}, \hat{p}_{j}$ with the identities

$$
\hat{x}_{j} \hat{x}_{k}-\hat{x}_{k} \hat{x}_{j}=0, \quad \hat{p}_{j} \hat{p}_{k}-\hat{p}_{k} \hat{p}_{j}=0, \quad \hat{p}_{j} \hat{x}_{k}-\hat{x}_{k} \hat{p}_{j}=-i \hbar \delta_{j k} .
$$

Any element of the Heisenberg algebra corresponds to a differential operator with polynomial in $x$ coefficients.

Natural problem. To extend the Heisenberg algebra so that its elements will still correspond to "good" operators on a reasonable function space.

We propose a certain approach to this problem. But first we develop some formalism, called the non-commutative structures.

Let $(\hat{\mathbb{O}},+, \cdot)$ be the free associative algebra over $\mathbb{C}$ with $m$ generators $\hat{z}_{1}, \ldots, \hat{z}_{m}$ :

$$
\hat{F} \in \hat{\mathbb{O}} \quad \Leftrightarrow \quad \hat{F}=\sum_{\hat{\zeta}} \hat{f}_{\hat{\zeta}} \hat{\zeta},
$$

where $\hat{\zeta}$ is a word, composed from the letters $\hat{z}_{1}, \ldots, \hat{z}_{m}$, and $\hat{f}_{\hat{\zeta}} \in \mathbb{C}$.
Empty word is identified with 1 . Example. $\hat{F}=\sum_{k=1}^{\infty} k!\hat{z}_{1} \hat{z}_{2}^{k} \hat{z}_{1}^{2}$.
The corresponding commutative object, $(\mathbb{O},+, \cdot)$, is a commutative associative algebra with generators $z_{1}, \ldots, z_{m}$.

$$
F \in \mathbb{O} \quad \Leftrightarrow \quad F=\sum_{\alpha \in \mathbb{Z}_{+}^{m}} f_{\alpha} z^{\alpha},
$$

where $\alpha$ is a multi-index, an element of $\mathbb{Z}_{+}^{m}=\{0,1, \ldots\}$, and $f_{\alpha} \in \mathbb{C}$.

Other structures are the involutions $\star: \hat{\mathbb{O}} \rightarrow \hat{\mathbb{O}}$ and $\star: \mathbb{O} \rightarrow \mathbb{O}$. For any word $\hat{\zeta}=\hat{f}_{\zeta} \hat{z}_{j_{1}} \cdot \hat{z}_{j_{k}} \in \hat{\mathbb{O}}$ we have: $\hat{\zeta}^{\star}=\overline{\hat{f}}_{\zeta} \hat{z}_{j_{k}} \cdot \hat{z}_{j_{1}}$. For any monomial $f_{\alpha} z^{\alpha} \in \mathbb{O}$ we have: $\left(f_{\alpha} z^{\alpha}\right)^{\star}=\bar{f}_{\alpha} z^{\alpha}$.

Then $\star$ is an anti-isomorphism and $\star^{2}=\mathrm{id}$.

We define the homomorphism of "averaging" aver: $\hat{\mathbb{O}} \rightarrow \mathbb{O}$. For any word $\hat{\zeta}$

$$
\operatorname{aver}(\hat{\zeta})=z^{\alpha}, \quad \text { where } \hat{z}_{j} \text { enters } \hat{\zeta} \text { exactly } \alpha_{j} \text { times. }
$$

Example: $\operatorname{aver}\left(\hat{z}_{2} \hat{z}_{1}^{2} \hat{z}_{2} \hat{z}_{1}^{3}\right)=z_{1}^{5} z_{2}^{2}$.
Then aver is uniquely continued to $\hat{\mathbb{O}}$ by linearity.

Below we need the derivations

$$
\partial_{1}, \ldots, \partial_{m} \in \operatorname{Der}(\mathbb{O}), \quad \hat{\partial}_{1}, \ldots, \hat{\partial}_{m} \in \operatorname{Der}(\hat{\mathbb{O}})
$$

By definition

$$
\partial_{j}\left(z_{k}\right)=\hat{\partial}_{j}\left(\hat{z}_{k}\right)=\delta_{j k}, \quad j, k=1, \ldots, m
$$

Hence, $\partial_{j}=\partial / \partial z_{j}$. Obviously,

$$
\partial_{j} \text { aver }=\operatorname{aver} \hat{\partial}_{j}, \quad \star \text { aver }=\operatorname{aver} \star, \quad \partial_{j} \star=\star \partial_{j}, \quad \hat{\partial}_{j \star}=\star \hat{\partial}_{j} .
$$

Let $D \subset \mathbb{R}^{m}$ be a domain. Consider the trivial bundles

$$
\pi: D \times \mathbb{O} \rightarrow D, \quad \hat{\pi}: D \times \hat{\mathbb{O}} \rightarrow D
$$

Sections of these bundles have the form

$$
F=F(\xi ; z), \quad \hat{F}=\hat{F}(\xi ; \hat{z})
$$

where for any $\xi \in D \quad F \in \mathbb{O}$ and $\hat{F} \in \hat{\mathbb{O}}$.
The sections are said to be horizontal if

$$
\left(\partial_{\xi_{j}}-\partial_{j}\right) F=0, \quad\left(\partial_{\xi_{j}}-\hat{\partial}_{j}\right) \hat{F}=0
$$

The algebras of horizontal sections are denoted $\mathbb{O}(D), \hat{\mathbb{O}}(D)$.
For any $\xi_{0} \in D$ there are obvious isomorphisms

$$
\left.\mathbb{O}(D)\right|_{\xi=\xi_{0}} \cong \mathbb{O} \quad \text { and }\left.\quad \hat{\mathbb{O}}(D)\right|_{\xi=\xi_{0}} \cong \hat{\mathbb{O}} .
$$

Therefore we have: aver : $\left.\left.\hat{\mathbb{O}}(D)\right|_{\xi=\xi_{0}} \rightarrow \mathbb{O}(D)\right|_{\xi=\xi_{0}}$. These maps generate the averaging homomorphism aver : $\widehat{\mathbb{O}}(D) \rightarrow \mathbb{O}(D)$.

The involutions $\star$ are naturally extended to anti-isomorphisms of $\hat{\mathbb{O}}(D)$ and $\mathbb{O}(D)$.

Examples. 1. The simplest examples (except constants) are

$$
\mathbf{z}_{j}=\xi_{j}+z_{j} \in \mathbb{O}\left(\mathbb{R}^{m}\right), \quad \hat{\mathbf{z}}_{j}=\xi_{j}+\hat{z}_{j} \in \hat{\mathbb{O}}\left(\mathbb{R}^{m}\right)
$$

2. Polynomials in $\mathbf{z}_{1}, \ldots, \mathbf{z}_{m}$ lie in $\mathbb{O}\left(\mathbb{R}^{m}\right)$. Non-commutative polynomials in $\hat{\mathbf{z}}_{1}, \ldots, \hat{\mathbf{z}}_{m}$ lie in $\widehat{\mathbb{O}}\left(\mathbb{R}^{m}\right)$.
3. Proposition. $F \in \mathbb{O}(D)$ iff $F(\xi ; z)=f(\mathbf{z})$, where $f: D \rightarrow \mathbb{C}$ is a smooth function.

Corollary. $\mathbb{O}(D) \cong C^{\infty}(D, \mathbb{C})$.
Informally speaking, any $\hat{F} \in \hat{\mathbb{O}}(D)$ is a "non-commutative smooth function of $\hat{\mathbf{z}}$ ". Sometimes we will use the notation $\hat{F}=\hat{F}(\hat{\mathbf{z}})$.

We extend $\hat{\mathbb{O}}(D)$ by adding the element $\hat{r}$ which commutes with everything,

$$
\partial_{\xi_{j}} \hat{r}=\partial_{j} \hat{r}=\hat{\partial}_{j} \hat{r}=0, \quad \hat{r}^{\star}=-\hat{r} .
$$

Physical meaning of $\hat{r}$ is $-i \hbar$.
The extended algebra is denoted $\hat{\mathbb{O}}_{\hat{r}}(D)$. Averaging aver : $\hat{\mathbb{O}}_{\hat{r}}(D) \rightarrow$ $\mathbb{O}(D)$ is the same as before, but first one should put $\hat{r}=0$.

Consider the ideal $J \in \widehat{\mathbb{O}}_{\hat{r}}(D)$ generated by the elements

$$
\hat{\mathbf{z}}_{j} \hat{\mathbf{z}}_{k}-\hat{\mathbf{z}}_{k} \hat{\mathbf{z}}_{j}-\hat{r} \hat{\varphi}_{j k}, \quad \hat{\varphi}_{j k} \in \hat{\mathbb{O}}_{\hat{r}}(D) .
$$

We put $\hat{\mathbb{O}}_{J}(D)=\hat{\mathbb{O}}_{\hat{r}}(D) / J$. Averaging (again denoted by aver) is defined on $\hat{\mathbb{O}}_{J}$ so that the following diagram commutes

$$
\begin{aligned}
& \hat{\mathbb{O}}_{\hat{r}}(D) \longrightarrow \hat{\mathbb{O}}_{J}(D) \\
& \text { aver } \swarrow \text { aver } \\
& \mathbb{O}(D)
\end{aligned}
$$

Definition. If $J=J^{\star}$, then $J$ is said to be Hermitian.
If the image of $\hat{r}$ under the projection $\hat{\mathbb{O}}_{\hat{r}}(D) \rightarrow \hat{\mathbb{O}}_{J}(D)$ is not a divisor of zero in $\hat{\mathbb{O}}_{J}(D)$ then $J$ is said to be Poissonian.

Below for brevity we denote this image again by $\hat{r}$.

Proposition. $J$ is Poissonian iff for all $j, k, l$

$$
\hat{\varphi}_{j k}+\hat{\varphi}_{k j} \in J, \quad \hat{\mathbf{z}}_{j} \hat{\varphi}_{k l}+\hat{\mathbf{z}}_{k} \hat{\varphi}_{l j}+\hat{\mathbf{z}}_{l} \hat{\varphi}_{j k} \in J .
$$

Main Example. Suppose that $m=2 n$. We denote

$$
\hat{\mathbf{z}}_{j}=\hat{\mathbf{x}}_{j}, \quad \hat{\mathbf{z}}_{j+n}=\hat{\mathbf{p}}_{j}, \quad j=1, \ldots, n,
$$

and generate $J$ by the elements
$\hat{\mathbf{x}}_{j} \hat{\mathbf{x}}_{k}-\hat{\mathbf{x}}_{k} \hat{\mathbf{x}}_{j}, \quad \hat{\mathbf{p}}_{j} \hat{\mathbf{p}}_{k}-\hat{\mathbf{p}}_{k} \hat{\mathbf{p}}_{j}, \quad \hat{\mathbf{p}}_{j} \hat{\mathbf{x}}_{k}-\hat{\mathbf{x}}_{k} \hat{\mathbf{p}}_{j}-\hat{r} \delta_{j k}, \quad \hat{\mathbf{x}}_{j} \hat{\mathbf{p}}_{k}-\hat{\mathbf{p}}_{k} \hat{\mathbf{x}}_{j}+\hat{r} \delta_{j k}$.
$J$ is Poissonian and Hermitian. The corresponding algebra $\hat{\mathbb{O}}_{J}\left(\mathbb{R}^{2 n}\right)$ is denoted $\hat{H}\left(\mathbb{R}^{2 n}\right)$. Subalgebra of polynomial elements in $\hat{H}\left(\mathbb{R}^{2 n}\right)$ is isomorphic to the Heisenberg algebra.

Any Poissonian ideal generates a quantum bracket [, ]:

$$
[\hat{F}, \hat{G}]=\frac{1}{\hat{r}}(\hat{F} \hat{G}-\hat{G} \hat{F}) \quad \text { for any } \hat{F}, \hat{G} \in \hat{\mathbb{O}}_{J}
$$

Important: the right-hand side of this equation lies in $\hat{\mathbb{O}}_{J}$ because $\hat{F} \hat{G}$ $\hat{G} \hat{F}$ is divisible by $\hat{r}$.

Then the corresponding classical Poisson bracket $\{$,$\} is uniquely$ determined from the commutative diagram

$$
\begin{aligned}
\hat{\mathbb{O}}_{J}(D) \times \hat{\mathbb{O}}_{J}(D) & \xrightarrow{[,]} \hat{\mathbb{O}}_{J}(D) \\
\text { aver } \times \text { aver } \downarrow & \downarrow_{\text {aver }} \\
\mathbb{O}(D) \times \mathbb{O}(D) & \xrightarrow{\{,\}} \mathbb{O}(D)
\end{aligned}
$$

If $J$ is Hermitian, $\star$ is naturally defined as an anti-isomorphism of $\hat{\mathbb{O}}_{J}(D)$.

If we regard $D$ as a coordinate domain, these algebras can be defined over a manifold. They are called non-commutative structures.

This language is analogous to the language of star-products in deformation quantization. There are strong relations between this approach to deformation quantization and the traditional one, but we will not discuss them now.

We concentrate on the algebra $\hat{\mathbb{H}}\left(\mathbb{R}^{2 n}\right)$ and try to interpret its elements as operators on $L^{2}\left(\mathbb{R}^{n}\right)$.

Recall the standard conventions:
$\hat{\mathbf{x}}_{j} \psi=x_{j} \psi(x), \quad \hat{\mathbf{p}}_{j} \psi=-i \hbar \frac{\partial}{\partial x_{j}} \psi(x), \quad \hat{r} \psi=-i \hbar \psi, \quad \psi: \mathbb{R}^{n} \rightarrow \mathbb{C}$.
Consider $\hat{F} \in \mathbb{H}\left(\mathbb{R}^{2 n}\right)$. How it is possible to associate with $\hat{F}$ an operator on $L^{2}\left(\mathbb{R}^{n}\right)$ ? If $\hat{F}$ is not polynomial in $\hat{\mathbf{p}}$, power expansions do not help because we have to deal with a "differential operator of an infinite order".

Main idea. For any $\zeta \in \mathbb{R}^{m}$

$$
e^{-i \zeta \hat{\mathbf{p}}} \psi(x)=e^{-\hbar \sum \zeta_{j} \partial / \partial x_{j}} \psi(x)=\psi(x-\hbar \zeta)
$$

a quite innocent operator.

Expansion in exponents $e^{-i \zeta \hat{\mathbf{p}}}$ means the Fourier expansion in the variable $\hat{\mathbf{p}}$. The most convenient space for harmonic analysis on $\mathbb{R}^{m}$ is the Schwartz space $\mathcal{S}$. We define

$$
\mathcal{S}_{\hat{r}}=\left\{f(\eta, \zeta, \hat{r})=\sum_{k=0}^{\infty} f_{k}(\eta, \zeta) \hat{r}^{k}, \quad f_{k} \in \mathcal{S}\right\}, \quad \eta, \zeta \in \mathbb{R}^{n}
$$

the series is formal. The structure of a topological space is introduced in a standard way.

Fourier transform:

$$
\mathcal{S}_{\hat{r}} \ni f \mapsto \hat{f}=\mathcal{F}(f)=\frac{1}{(2 \pi)^{n / 2}} \int_{\mathbb{R}^{n}} f(\hat{\mathbf{x}}, \zeta, \hat{r}) e^{-i \zeta \hat{\mathbf{p}}} d \zeta .
$$

We denote $\hat{\mathcal{S}}_{\hat{r}}=\mathcal{F}\left(\mathcal{S}_{\hat{r}}\right)$. Proposition. $\hat{\mathcal{S}}_{\hat{r}} \subset \hat{\mathbb{H}}\left(\mathbb{R}^{2 n}\right)$ is a subalgebra.
Inverse Fourier transform:

$$
\hat{\mathcal{S}}_{\hat{r}} \ni \hat{f} \mapsto f=\mathcal{F}^{-1}(\hat{f})=\frac{1}{(2 \pi)^{n / 2}} \int_{\mathbb{R}^{n}} \hat{f}(\hat{\mathbf{x}}, \hat{\mathbf{p}}) e^{i \zeta \hat{\mathbf{p}}} d p
$$

where as usual, $\hat{\mathbf{p}}=p+\hat{p}$.
Proposition. $\mathcal{F}^{-1} \mathcal{F}=\operatorname{id}_{\mathcal{S}_{\hat{r}}}$ and $\mathcal{F} \mathcal{F}^{-1}=\operatorname{id}_{\hat{\mathcal{S}}_{\hat{r}}}$.

The derivations $\hat{\partial}_{j}$ still exist in $\mathbb{H}\left(\mathbb{R}^{2 n}\right)$. We use for them the notation $\hat{\partial}_{\hat{x}_{j}}$ and $\hat{\partial}_{\hat{p}_{j}}$.

Proposition. For any $f \in \mathcal{S}_{\hat{r}}$ and $\hat{f}=\mathcal{F}(f)$

$$
\hat{\partial}_{\hat{p}_{j}} \hat{f}=\mathcal{F}\left(-i \zeta_{j} f\right), \quad i \hat{f} \hat{\mathbf{p}}_{j}=\mathcal{F}\left(\partial f / \partial \zeta_{j}\right) .
$$

For any $f=f(\eta, \zeta, \hat{r}), g=g(\eta, \zeta, \hat{r}) \in \mathcal{S}_{\hat{r}}$ and $\hat{f}=\hat{f}(\hat{\mathbf{x}}, \hat{\mathbf{p}}), \hat{g}=$ $\hat{g}(\hat{\mathbf{x}}, \hat{\mathbf{p}}) \in \hat{\mathcal{S}}_{\hat{r}}$ we define the convolutions

$$
\begin{aligned}
& f * g=\frac{1}{(2 \pi)^{n / 2}} \int_{\mathbb{R}^{n}} f(\hat{\mathbf{x}}, \zeta-\rho, \hat{r}) g(\hat{\mathbf{x}}+i \hat{r} \zeta, \rho, \hat{r}) d \rho, \\
& \hat{f} * \hat{g}=\int_{\mathbb{R}^{n}} \hat{g}(\hat{\mathbf{x}}, P) \hat{f}(\hat{\mathbf{x}}, \hat{\mathbf{p}}-P) d P .
\end{aligned}
$$

Proposition. Suppose that $\hat{f}=\mathcal{F}(f)$ and $\hat{g}=\mathcal{F}(g)$. Then

$$
\hat{f} * \hat{g}=\mathcal{F}(f g), \quad \hat{f} \hat{g}=\mathcal{F}(f * g) .
$$

Representation of $\hat{\mathcal{S}}_{\hat{r}}$ in $L^{2}\left(\mathbb{R}^{n}\right)$.
For any $\hat{f} \in \hat{\mathcal{S}}_{\hat{r}}$ we have:

$$
\hat{f}=\frac{1}{(2 \pi)^{n / 2}} \int_{\mathbb{R}^{n}} f(\hat{\mathbf{x}}, \zeta, \hat{r}) e^{-i \zeta \hat{\mathbf{p}}} d \zeta .
$$

Therefore for $\psi \in L^{2}\left(\mathbb{R}^{n}\right)$

$$
\hat{f} \psi=\frac{1}{(2 \pi)^{n / 2}} \int_{\mathbb{R}^{n}} f(x, \zeta,-i \hbar) \psi(x-\hbar \zeta) d \zeta .
$$

For any $f, g \in \mathcal{S}_{\hat{r}}$ and $\hat{f}, \hat{g} \in \hat{\mathcal{S}}_{\hat{r}}$ we define the scalar products

$$
\begin{aligned}
& \langle f, g\rangle=\int_{\mathbb{R}^{n}} f(\eta, \zeta, \hat{r}) g^{\star}(\eta, \zeta, \hat{r}) d \eta d \zeta, \\
& \langle\hat{f}, \hat{g}\rangle=\int_{\mathbb{R}^{n}} \hat{f}(\hat{\mathbf{x}}, \hat{\mathbf{p}}) \hat{g}^{\star}(\hat{\mathbf{x}}, \hat{\mathbf{p}}) d x d p
\end{aligned}
$$

Proposition. Suppose that $\hat{f}=\mathcal{F}(f)$ and $\hat{g}=\mathcal{F}(g)$. Then

$$
\langle\hat{f}, \hat{g}\rangle=\langle f, g\rangle
$$

By using these scalar products, we can consider the spaces of distributions $\mathcal{S}_{\hat{r}}^{\prime}$ and $\hat{\mathcal{S}}_{\hat{r}}^{\prime}$, corresponding to the spaces of "test functions" $\mathcal{S}_{\hat{r}}$ and $\hat{\mathcal{S}}_{\hat{r}}$.

The space $\hat{\mathcal{S}}_{\hat{r}}^{\prime}$ contains the algebra of non-commutative polynomials in $\hat{\mathbf{x}}$ and $\hat{\mathbf{p}}$ (the Heisenberg algebra.)

Representation of $\hat{\mathcal{S}}_{\hat{r}}^{\prime}$ in $L^{2}\left(\mathbb{R}^{n}\right)$ is given by the same formula.
Note that $\hat{\mathcal{S}}_{\hat{r}}^{\prime} \not \subset \mathbb{H}\left(\mathbb{R}^{2 n}\right)$ and $\mathbb{H}\left(\mathbb{R}^{2 n}\right) \not \subset \hat{\mathcal{S}}_{\hat{r}}^{\prime}$.

## A possible application

Suppose that a quantum system with Hamiltonian $\hat{H} \in \hat{\mathbb{H}}\left(\mathbb{R}^{2 n}\right)$ is integrable in the sense that there exist sufficiently regular independent $\hat{F}_{1}, \ldots, \hat{F}_{n} \in \hat{\mathbb{H}}\left(\mathbb{R}^{2 n}\right)$ such that

$$
\left[\hat{F}_{j}, \hat{F}_{k}\right]=\left[\hat{F}_{j}, \hat{H}\right]=0
$$

In particular, the corresponding classical Hamiltonian system, obtained by means of the averaging homomorphism, is Liouville integrable.

If the classical common integral levels are compact, there exist actionangle variables.

It turns out that there exist non-commutative action-angle variables $\hat{I}, \hat{\varphi}$, i.e.,
(a) $\hat{I}=\mathcal{M}(\hat{F}, i \hat{r}), \hat{F}=W(\hat{I}, i \hat{r})$.
(b) $\hat{\varphi}$ are angular (defined $\bmod 2 \pi$ ).
(c) $\left[\hat{I}_{j}, \hat{I}_{k}\right]=\left[\hat{\varphi}_{j}, \hat{\varphi}_{k}\right]=0,\left[\hat{I}_{j}, \hat{\varphi}_{k}\right]=\delta_{j k}$.

Existence of such non-commutative action-angle variables has been proven so far locally [T2007]: $\hat{I}_{j}, \hat{\varphi}_{j} \in \hat{\mathbb{H}}(D)$, where $D$ is a neighborhood of any classical Liouville torus. The corresponding global result is probably much more complicated, but under reasonable assumptions does not look hopeless.

Suppose that $\hat{I}_{j}, \hat{\varphi}_{j} \in \hat{\mathbb{H}}\left(\mathbb{R}^{2 n}\right)$.
Suppose moreover that $\hat{I}_{j}, e^{i \hat{\varphi}_{j}}$ correspond to some good (pseudodifferential) operators.

Then for any eigenfunction $\psi \not \equiv 0, \hat{F}_{j} \psi=\lambda_{j} \psi$ we have:

$$
\hat{F}_{j} \psi_{k}=\Lambda_{j k} \psi_{k}, \quad \psi_{k}=e^{i k \hat{\varphi}} \psi, \quad k \in \mathbb{Z}
$$

$$
\Lambda_{j k}=W_{j}(\mathcal{M}(\lambda, \hbar)+\hbar k, \hbar)
$$

Hence, having one eigenfunction, we obtain many (infinitely many? all?) eigenfunctions and eigenvalues.

