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# Modular Forms and the Inverse Galois Problem

Gabor Wiese

Université du Luxembourg

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Question of Hilbert:

Given a finite group  $G$ .

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$$\text{Gal}(K/\mathbb{Q}) \cong G?$$

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In this talk focus on two cases:

- The  $\text{GL}_2$ -case:  $G = \text{PSL}_2(\mathbb{F}_{\ell^d})$ .
- The  $\text{GSp}_{2n}$ -case:  $G = \text{PSp}_{2n}(\mathbb{F}_{\ell^d})$ .

# Introduction: $GL_2$ -case

Consider a cuspidal modular form

$$f = \sum_{n=1}^{\infty} a_n q^n \quad (q = e^{2\pi iz})$$

s.t.  $a_1 = 1$  (normalised), Hecke eigenform, no CM,  
any weight, on  $\Gamma_1(N)$ , nebentype  $\psi : (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$ .

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**Theorem (Deligne, Shimura, Eichler, Igusa, Serre).**

For each prime  $\ell$ ,  $\exists$  Galois representation

$$\bar{\rho}_{f,\ell}^{\text{proj}} : G_{\mathbb{Q}} \xrightarrow{\bar{\rho}_{f,\ell}} GL_2(\bar{\mathbb{F}}_\ell) \xrightarrow{\text{nat. proj.}} PGL_2(\bar{\mathbb{F}}_\ell)$$

unramified outside  $N\ell$  such that for all  $p \nmid N\ell$

$$\text{Tr}(\bar{\rho}_{f,\ell}(\text{Frob}_p)) \equiv a_p \pmod{\ell}.$$

One speaks of a compatible system.

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- (II) Image of  $\bar{\rho}_{f,\ell}^{\text{proj}}$ ?

Note:  $\text{Gal}(\overline{\mathbb{Q}}^{\ker(\bar{\rho}_{f,\ell}^{\text{proj}})} / \mathbb{Q}) \cong \bar{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}}).$



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(III) Prove the existence of  $f$  such that for fixed  $\ell, d$ :

$$\bar{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}}) \cong \text{PSL}_2(\mathbb{F}_{\ell^d}),$$

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(I) Smallest  $d$  such that  $\bar{\rho}_{f,\ell}^{\text{proj}}$  can be defined over  $\mathbb{F}_{\ell^d}$ ?

**Answer:**

If  $\bar{\rho}_{f,\ell}$  is irreducible, then  $\bar{\rho}_{f,\ell}^{\text{proj}}$  can be defined over residue field (above  $\ell$ ) of the global field  $\mathbb{Q}\left(\frac{a_p^2}{\psi(p)} \mid p \nmid N\right)$

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**Answer:**

From (I):  $\bar{\rho}_{f,\ell}^{\text{proj}}$  definable over  $\mathbb{F}_{\ell^d}$ . By Dickson ( $\sim 1900$ ):

$\bar{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}})$  is

- $PSL_2(\mathbb{F}_{\ell^d}), PGL_2(\mathbb{F}_{\ell^d})$
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- $\subseteq \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$
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**Ribet:** For almost all  $\ell$ : huge image.

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i.e. realise  $\text{PSL}_2(\mathbb{F}_{\ell^d})$  as Galois group over  $\mathbb{Q}$ .



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**Partial Answers:**

**Theorem A (W. 2008).** Given  $\ell$ ,  $\exists$  infinitely many  $d$  s.t.  $\text{PSL}_2(\mathbb{F}_{\ell^d})$  occurs as  $\overline{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}})$  (for some  $f$  depending on  $d$ ) with only  $\ell$  and one other prime (dep. on  $d$ ) ramifying.

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**Theorem B (Dieulefait, W. 2011).** Given  $d$ ,  $\exists$  positive density set of primes  $\mathcal{L}$  s.t.  $\forall \ell \in \mathcal{L}$ :  $\text{PSL}_2(\mathbb{F}_{\ell^d})$  occurs as  $\overline{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}})$  with only  $\ell$  and at most three other primes (not dep. on  $\ell$ ) ramifying.

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**Partial Answers:**

**Theorem C (W. 2012).** Given  $d$  even. Assume *Maeda's conjecture*. Then the density of the set of primes  $\ell$  such that  $\text{PSL}_2(\mathbb{F}_{\ell^d})$  occurs as  $\bar{\rho}_{f,\ell}^{\text{proj}}(G_{\mathbb{Q}})$  with only  $\ell$  ramifying is 1.

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**Maeda's conjecture.** Let  $f = \sum_{n=1}^{\infty} a_n q^n \in S_k(1)$  be a level 1 newform of any weight  $k$ . Let  $\mathbb{Q}_f := \mathbb{Q}(a_2, a_3, a_4, \dots)$ . Then

- $[\mathbb{Q}_f : \mathbb{Q}] = \dim_{\mathbb{C}} S_k(1) =: d_k$  and
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The conjecture has been verified numerically for  $k \leq 12000$  (work of Ghitza and student).

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Reasons behind the proof:

- $K/\mathbb{Q}$ ,  $\deg n > d$ , Galois gp  $\text{Sym}_n$  has subfields of  $\deg d$ .
- If  $K$  and  $L$  two such (with  $\text{Sym}_m, \text{Sym}_n, m > n \geq 5$ ), then  $K \cap L$  at most quadratic ( $A_n$  simple!).
- Varying  $f$ , (almost) disj. of  $\mathbb{Q}_f \rightsquigarrow$  densities add up to 1.



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# Introduction: $\mathrm{GSp}_{2n}$ -case

**Generalisation to  $\mathrm{GSp}_{2n}$  any  $n$ :**

**Theorem A (Khare, Larsen, Savin, 2008).**

Given  $\ell$ ,  $\exists$  infinitely many  $d$  s.t.  $\mathrm{PSp}_{2n}(\mathbb{F}_{\ell^d})$  or  $\mathrm{PGSp}_{2n}(\mathbb{F}_{\ell^d})$  occurs as image of the residual Galois representation attached to a suitable automorphic form on  $\mathrm{GL}_{2n}$  over  $\mathbb{Q}$ .

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**Generalisation to  $\mathrm{GSp}_{2n}$  any  $n$ :**

Joint work with Sara Arias-de-Reyna and Luis Dieulefait:

- (I) Determine projective field of definition of compatible system of symplectic Galois representations.  
(DONE. Explain now.)

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(DONE. Show result now.)
- (III) Generalise Theorem B.  
(ALMOST DONE, subject to a ‘promised theorem’ by others).

# Inner twists

Let  $K$  be a field,  $\overline{K}$  separable closure. Consider:

$$\rho^{\text{proj}} : G_{\mathbb{Q}} \xrightarrow{\rho} \text{GSp}_{2n}(\overline{K}) \xrightarrow{\text{nat. proj.}} \text{PGSp}_{2n}(\overline{K}).$$

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**Simple observations:**

- Let  $\epsilon : G_{\mathbb{Q}} \rightarrow \overline{K}^{\times}$  char.  $\Rightarrow (\rho \otimes \epsilon)^{\text{proj}} = \rho^{\text{proj}}.$

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**Simple observations:**

- Let  $\epsilon : G_{\mathbb{Q}} \rightarrow \overline{K}^{\times}$  char.  $\Rightarrow (\rho \otimes \epsilon)^{\text{proj}} = \rho^{\text{proj}}$ .
- Suppose  $\rho_1^{\text{proj}} \sim \rho_2^{\text{proj}}$ .

$$\text{Put } \epsilon(g) := M^{-1} \rho_1(g) M \rho_2(g)^{-1} \in \overline{K}^{\times}.$$

$$\Rightarrow \rho_1 \sim \rho_2 \otimes \epsilon.$$

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Galois action on coefficients: for  $\sigma \in G_K$  consider

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$\rho$  has complex multiplication (CM) if  $\sigma = \text{id}$ ,  $\epsilon \neq 1$ .

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Galois action on coefficients: for  $\sigma \in G_K$  consider

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**Def.:** A pair  $(\sigma, \epsilon)$  with  $\sigma \in G_K$  and  $\epsilon : G_{\mathbb{Q}} \rightarrow \overline{K}^{\times}$  character is called an **inner twist** if  $\sigma \rho \sim \rho \otimes \epsilon$  ( $\Leftrightarrow (\sigma \rho)^{\text{proj}} \sim \rho^{\text{proj}}$ ).

$\rho$  has complex multiplication (CM) if  $\sigma = \text{id}$ ,  $\epsilon \neq 1$ .

Suppose  $\rho$  is irreducible and has no CM. Then:

$$\sigma \rho \sim \rho \otimes \epsilon \Leftrightarrow$$

$$\sigma(\text{Tr}(\rho(\text{Frob}_p))) = \text{Tr}(\rho(\text{Frob}_p))\epsilon(\text{Frob}_p) \quad \forall \text{ unramified } p.$$

# Inner twists

**Def.:**  $H_\rho := \bigcap_\epsilon \ker(\epsilon) \triangleleft G_\mathbb{Q}$  for  $\epsilon$  occurring in an inner twist.  
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**Theorem (Arias-de-Reyna, Dieulefait, W., 2012).**

Suppose  $\rho|_{H_\rho}$  is irreducible. Then:

- (1)  $\exists \rho'$  such that  $\rho'^{\text{proj}} \sim \rho^{\text{proj}}$  and  $\rho'^{\text{proj}}$  factors through  $K_\rho$ .
- (2)  $K_\rho$  is the smallest subfield of  $\overline{K}$  with this property.

**Morale:** The inner twists determine the smallest field over which  $\rho^{\text{proj}}$  can be defined.



# Compatible systems

Let  $n \in \mathbb{N}$ ,  $L/\mathbb{Q}$  Galois number field,  $N, k \in \mathbb{N}$ ,  $\psi : G_{\mathbb{Q}} \rightarrow L^{\times}$ ,  
for all  $p \nmid N$ :  $P_p(X) = X^{2n} - a_p X^{2n-1} + \dots \in L[X]$ .

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A **compatible system**  $\rho_{\bullet}$  is:

for each  $\lambda$  place of  $L$  a Galois representation

$$\rho_{\lambda} : G_{\mathbb{Q}} \rightarrow \mathrm{GSp}_{2n}(L_{\lambda}) \text{ such that}$$

- abs. irred., unramified outside  $N\ell$  (for  $\ell \mid N$ ),
- $\forall p \nmid N\ell : \text{charpoly}(\rho_{\lambda}(\mathrm{Frob}_p)) = P_p$ ,
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We consider:  $\bar{\rho}_{\lambda}$  (residual representation),  $\rho_{\lambda}^{\mathrm{proj}}$ , and  $\bar{\rho}_{\lambda}^{\mathrm{proj}}$ .

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Let  $\rho_\bullet$  be a compatible system.

**Def.:**  $(\sigma, \epsilon)$  (with  $\sigma \in \text{Gal}(L/K)$  and  $\epsilon : G_{\mathbb{Q}} \rightarrow L^\times$ ) **inner twist** of  $\rho_\bullet$  if  
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**Theorem 1 (Arias-de-Reyna, Dieulefait, W., 2012).**

Assume moreover:  $\rho_\bullet$  is *strictly compatible with regular Hodge-Tate weights* and  $\bar{\rho}_\lambda$  is absolutely irreducible for almost all  $\lambda$ .

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Morale: The global field  $K_{\rho_\bullet}$  (depending only on the inner twists) determines the projective field of definition of  $\bar{\rho}_\lambda^{\text{proj}}$ .

This field is the  $\text{GSp}_{2n}$ -replacement of  $\mathbb{Q}(\frac{a_p^2}{\psi(p)} \mid p \nmid N)$ .



# Classification result

**Theorem 2 (Arias-de-Reyna, Dieulefait, W., 2012).**

Let  $\ell \geq 5$  and  $\bar{\rho} : G \rightarrow \mathrm{GSp}_{2n}(\overline{\mathbb{F}}_\ell)$  be irreducible.

Assume:  $\bar{\rho}(G)$  contains a non-trivial transvection.

Then either  $\bar{\rho}(G) \supseteq \mathrm{PSp}_{2n}(\mathbb{F}_\ell)$  (huge image)

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Morale: Our replacement of Dickson's theorem for  $\mathrm{GL}_2$ :

Recall:  $\bar{\rho}_{f,\ell}^{\mathrm{proj}}(G_{\mathbb{Q}})$  is

- $\mathrm{PSL}_2(\mathbb{F}_{\ell^d}), \mathrm{PGL}_2(\mathbb{F}_{\ell^d})$
- dihedral
- $\subseteq \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$
- $A_4, S_4, A_5$

**huge image**

induced

reducible

exceptional

# Inverse Galois Problem

**Theorem 3 (Arias-de-Reyna, Dieulefait, W., 2012).**

Let  $\rho_\bullet$  be as in Theorem 1. Assume moreover:

- $\bar{\rho}_\lambda(G_{\mathbb{Q}})$  contains a transvection for almost all  $\lambda$ .
- ‘*Good dihedral prime*’ (Khare, Wintenberger, Larsen, Savin):  
 $\exists$  prime  $q$ ,  $\exists$  suitable character  $\delta : G_{\mathbb{Q}_{q^{2n}}} \rightarrow L^\times$  of order  $2t$   
( $t$  prime),  $2n \mid (t - 1)$  such that  $\bar{\rho}_\lambda|_{G_{\mathbb{Q}_q}} \sim \text{Ind}_{G_{\mathbb{Q}_{q^{2n}}}}^{G_{\mathbb{Q}_q}}(\delta)$ .

Then for all  $d \mid \frac{t-1}{2n}$ , the set of places  $\lambda$  of  $L$  such that

$\text{PSp}_{2n}(\mathbb{F}_{\ell^d})$  or  $\text{PGSp}_{2n}(\mathbb{F}_{\ell^d})$  equals  $\bar{\rho}_\lambda^{\text{proj}}(G_{\mathbb{Q}})$  has a positive density.

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Morale: If such a  $\rho_\bullet$  exists, then we obtain the desired application to the inverse Galois problem.

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Thank you for your attention.