

# Trace formula and Brandt matrices

## Talk in the Forschungsseminar on Quaternion Algebras

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## 1 Introduction

Let  $H/K$  be an quaternion algebra,  $K = \text{Quot}(R)$ ,  $L/K$  a separable extention of degree 2.  $B$  an  $R$ -order of  $L$ ,  $O$  an  $R$ -order of  $H$ .

**Definition 1.1** *An embedding  $f : L \rightarrow H$  is a maximal embedding of  $B$  in  $O$  if  $f(L) \cap B = f(B)$ .*

As an example, let  $L = K(h)$ ,  $h \in H$ . Then by Skolem-Noether  $C(h) = \{xhx^{-1} \mid x \in H^*\}$  is in bijection with the set of embeddings of  $L$  in  $H$  and  $C(h, B) = \{xhx^{-1} \mid x \in H^*, K(xhx^{-1}) \cap O = xBx^{-1}\}$  is in bijection with the set of maximal embeddings of  $B$  in  $O$ .

Let  $N(O) = \{x \in H^* \mid xOx^{-1} = O\}$  be the normalizer of  $O$  and  $G \subseteq N(O)$  a subgroup. For  $x \in H$  let  $\tilde{x} : y \mapsto xyx^{-1}$  be the inner automorphism associated to  $x$  and let  $\tilde{G} := \{\tilde{x} \mid x \in G\}$ .

Then  $C(h, B)$  is stable under the left action of  $\tilde{G}$ . This justifies the second definition:

**Definition 1.2** *A class of maximal embeddings of  $B$  in  $O$  modulo  $G$  is a  $\tilde{G}$ -orbit of maximal embeddings of  $B$  in  $O$ .*

The goal of this talk is to proof a trace formula for the number of classes of maximal embeddings where  $K$  is a number field and  $O$  is an Eichler Order.

## 2 Local Case

Let  $K$  be a local field. We have to distinguish between two different cases: The case where  $H$  is a division quaternion algebra and the case where  $H$  is a matrix algebra. In the global setting this will correspond to the places  $p$  where  $H_p$  is ramified (first case) and those where  $H_p$  is unramified (second case).

### 2.1 First Case: $H$ a division quaternion algebra

From Adam's talk we know that  $H \cong (L', \pi)$  where  $L'$  is the unramified separable quadratic extension of  $K$ . Let  $w$  be the map  $w : H^\times \rightarrow \mathbb{Z}, h \mapsto w(h) := v(n(h))$ . Then  $O := \{h \in H^\times \mid w(h) \geq 0\} \cup \{0\}$  is the unique maximal order, hence the unique Eichler order of  $H$ .

Let

$$\left(\frac{L}{\pi}\right) := \begin{cases} -1 & \text{if } L/K \text{ is unramified,} \\ 0 & \text{if } L/K \text{ is ramified} \end{cases}$$

be the local Artin symbol.

**Theorem 2.1** *If  $B$  is a maximal order, then if  $m(B, G)$  denotes the number of maximal embeddings of  $B$  in  $O$  modulo  $G$  we have*

$$m(B, G) = \begin{cases} 1 & \text{if } G = N(O), \\ 1 - \left(\frac{L}{\pi}\right) & \text{if } G = O^\times. \end{cases}$$

*If  $B$  is not maximal, then it can't be maximal embedded in  $O$ .*

### 2.2 Second Case: $H \cong M_2(K)$ a matrix algebra

Let  $O$  be a maximal order of  $H$  and  $O'$  an Eichler order of level  $(\pi)$  of  $H$ .

Let

$$\left(\frac{B}{\pi}\right) := \begin{cases} \left(\frac{L}{\pi}\right) & \text{if } B \text{ is maximal,} \\ 1 & \text{otherwise} \end{cases}$$

be the local Eichler symbol.

**Theorem 2.2** *The number of maximal embeddings of  $B$  in  $O$  modulo  $O^\times$  is 1. The number of maximal embeddings of  $B$  in  $O'$  modulo  $G$  is*

$$\begin{cases} 1 + \left(\frac{B}{\pi}\right) & \text{if } G = O'^\times, \\ 0 \text{ or } 1 & \text{if } G = N(O') \end{cases}$$

**Remark 2.3** This theorem shows that  $B$  can't be maximal embedded in  $O'$  if and only if  $B$  is maximal and  $L/K$  is unramified.

## 3 Global Case: The Trace formula

Let  $K$  be a number field,  $R = O_K$ ,  $O$  an Eichler order of  $H$  of level  $N$ ,  $S$  a finite set of places satisfying

- (a)  $\{\text{infinite places}\} \subset S$
- (b)  $S$  satisfies the Eichler condition (There is a place  $p \in S$  where  $H_p/K_p$  is unramified).

(c)  $\{p \mid N\} \cap S = \emptyset$

Let  $X$  denote the set of all places of  $K$ .

The discriminant of  $O$  can be written as  $DN$  with

$$D := \prod_{p \notin S, p \in \text{Ram}(H)} (p).$$

The main goal of my talk is the following theorem:

**Theorem 3.1 (Trace formula)** *Let  $m_p := m_p(D, N, B, O^*)$  be the number of maximal embeddings of  $B_p$  in  $O_p$  modulo  $O_p^*$  for all  $p \notin S$ .  $(I_i), 1 \leq i \leq h$  a system of representatives of classes of left ideals of  $O$  and  $O^{(i)} = O_r(I_i)$  the right order of  $I_i$ . Let  $m_{O^*}^{(i)}$  be the number of maximal embeddings of  $B$  in  $O^{(i)}$  modulo  $0^{(i)*}$ . Then*

$$\sum_{i=1}^h m_{O^*}^{(i)} = h(B) \prod_{p \notin S} m_p$$

where  $h(B)$  is the class number of  $B$ .

**Remark 3.2** The product on the right hand side is finite. We have

$$\prod_{p \notin S} m_p = \prod_{p \mid D} m_p \prod_{p \mid N} m_p = \prod_{p \mid D} \left(1 - \left(\frac{B}{p}\right)\right) \prod_{p \mid N} \left(1 + \left(\frac{B}{p}\right)\right).$$

where  $\left(\frac{B}{p}\right)$  is the global Eichler Symbol defined as:

$$\left(\frac{L}{p}\right) = \begin{cases} 1 & \text{if } p \text{ splits in } L, \\ -1 & \text{if } p \text{ is inert in } L, \\ 0 & \text{if } p \text{ ramifies in } L \end{cases}$$

and

$$\left(\frac{B}{p}\right) = \begin{cases} \left(\frac{L}{p}\right) & \text{for } p \in S \text{ or } B_p \text{ maximal,} \\ 1 & \text{otherwise.} \end{cases}$$

We will proof the trace formula in a more general setting:

Let  $G_p$  be groups with  $O_p^* \subseteq G_p \subseteq N(O_p)$  for all  $p \notin S$  and let  $G_p := H_p^*$  for  $p \in S$ .

We suppose that  $G_p = O_p^*$  for all but finitly many  $p$ . Let  $G_{\mathbb{A}} := \prod_{p \in X} G_p \subseteq H_{\mathbb{A}}^*$  be the adelic version of the  $G_p$ 's and let  $G := G_{\mathbb{A}} \cap H^*$ .

Let  $O^{(i)}, 1 \leq i \leq t$  be a system of representatives of Eichler orders of level  $N$ . In Björns talk we had a disjoint union decomposition:

$$H_{\mathbb{A}}^* = \bigsqcup_{i=1}^t N(O_{\mathbb{A}}) x_i H^*$$

and with  $O_{\mathbb{A}}^{(i)} := x_i^{-1} O_{\mathbb{A}} x_i$  we have  $O^{(i)} = H \cap O_{\mathbb{A}}^{(i)}$ . Let  $G_{\mathbb{A}}^{(i)} := x_i^{-1} G_{\mathbb{A}} x_i$  and  $G^{(i)} := H \cap G_{\mathbb{A}}^{(i)}$ . Let  $H^{(i)} := N(O_{\mathbb{A}}^{(i)}) \cap H^*$ ,  $n_G^{(i)} := \text{card}(G_{\mathbb{A}}^{(i)} \backslash N(O_{\mathbb{A}}^{(i)}) / H^{(i)})$  and  $h_G(B) := \text{card}(B'_{\mathbb{A}} \backslash L_{\mathbb{A}}^* / L^*)$  with  $B'_{\mathbb{A}} = B_{\mathbb{A}} \cap G_{\mathbb{A}}$ .

Then we can proof the following theorem:

**Theorem 3.3** Let  $m_p = m_p(D, N, B, G)$  be the number of maximal embeddings of  $B_p$  in  $O_p$  modulo  $G_p$  for all  $p \notin S$ . Let  $m_G^{(i)}$  be the number of maximal embeddings of  $B$  in  $O^{(i)}$  modulo  $G^{(i)}$ . Then

$$\sum_{i=1}^t n_G^{(i)} m_G^{(i)} = h_G(B) \prod_{p \notin S} m_p.$$

It is not so hard to see that Theorem 3.3 implies Theorem 3.1.

## 4 An application: Brandt matrices

Let  $N$  be a rational prime and  $H$  the quaternion algebra over  $\mathbb{Q}$  which is ramified at  $N$  and  $\infty$ . Let  $O$  be a fixed maximal order of  $H$  and let  $\{I_1, \dots, I_n\}$  be a system of representatives of classes of left ideals of  $O$ ,  $O^{(i)} = O_r(I_i)$  the right order of  $I_i$ . Then  $\Gamma_i = O^{(i)*}/\mathbb{Z}^*$  is a discrete subgroup of  $(H \otimes \mathbb{R})^*/\mathbb{R}^* \cong \mathrm{SO}_3(\mathbb{R})$ , which is compact, so  $\Gamma_i$  is finite. We let  $w_i = |\Gamma_i|$ . Then Eichlers mass formula states

$$\sum_{i=1}^n \frac{1}{w_i} = \frac{N-1}{12}.$$

Let  $M_{ij} := I_j^{-1}I_i$  be the product ideal. It is a left ideal of  $O^{(j)}$  with right order  $O^{(i)}$ . Let  $n(M_{ij})$  denote the unique rational number such that  $\frac{n(b)}{n(M_{ij})}$  are integers with no common factor for all  $b \in M_{ij}$ . We let

$$f_{ij} := \frac{1}{w_j} = \sum_{b \in M_{ij}} e^{2\pi i \left(\frac{n(b)}{n(M_{ij})}\right)\tau} = \sum_{m \geq 0} B_{ij}(m) q^m.$$

This is a modular form of weight 2 for  $\Gamma_0(N)$ .

**Definition 4.1** The  $m$ -th Brandt-Matrix is  $B(m) := (B_{ij}(m))_{1 \leq i, j \leq n}$ .

Using the trace formula we can compute  $\mathrm{Trace}(B(m))$  in terms of the Hurwitz class number:

For  $B$  an order of discriminant  $-d$  and rank 2 over  $\mathbb{Z}$  we set  $h(d) = |\mathrm{Pic}(B)|$  and  $u(d) = |B^*/\mathbb{Z}^*|$ . For  $D > 0$  we set

$$H(D) := \sum_{df^2 = -D} \frac{h(d)}{u(d)}$$

and

$$H_N(D) = \begin{cases} 0 & \text{if } N \text{ splits in } O_{-D} =: O, \\ H(D) & \text{if } N \text{ is inert in } O, \\ \frac{1}{2}H(D) & \text{if } N \text{ ramifies in } O \text{ and } N \text{ doesn't divide the conductor of } O, \\ H_N\left(\frac{D}{N^2}\right) & \text{if } N \text{ divides the conductor of } O. \end{cases}$$

Further let  $H_N(0) := \frac{N-1}{24}$ .

Then we can show the following theorem:

**Theorem 4.2**

$$\mathrm{Trace}(B(m)) = \sum_{s \in \mathbb{Z}, s^2 \leq 4m} H_N(4m - s^2)$$

For a proof, see chapter 1 of Gross' paper.

## References

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