On the arithmetic of modular forms

Gabor Wiese

15 June 2017

Modular forms

There are five fundamental operations: addition, subtraction, multiplication, division, and modular forms.

Martin Eichler (1912-1992)

There are five fundamental operations: addition, subtraction, multiplication, division, and modular forms.

Martin Eichler (1912-1992)

J'aime bien les formes modulaires. [...] C'est un sujet sur lequel on n'a jamais de mauvaises surprises: si l'on devine un énoncé, c'est un énoncé encore plus beau qui est vrai !

Jean-Pierre Serre (*1926)





Gotthold Eisenstein (1823-1852)

Carl Jacobi (1804-1851)





Gotthold Eisenstein (1823-1852)

Carl Jacobi (1804-1851)

Eisenstein series





Gotthold Eisenstein (1823-1852) Carl Jacobi (1804-1851) Eisenstein series

$$E_k = * \sum_{(n,m)\in\mathbb{Z}^2\setminus\{(0,0)\}} \frac{1}{(m\tau+n)^k}$$





Gotthold Eisenstein (1823-1852) Carl Jacobi (1804-1851) Eisenstein series

$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n, \quad q = e^{2\pi i \tau},$$

where $\sigma_{k-1}(n) = \sum_{0 < d | n} d^{k-1}$.





Gotthold Eisenstein (1823-1852) Carl Jacobi (1804-1851) Eisenstein series

$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n, \quad q = e^{2\pi i\tau},$$

where $\sigma_{k-1}(n) = \sum_{0 \le d \mid n} d^{k-1}.$

Coefficients: Special zeta-value and divisor function.





Gotthold Eisenstein (1823-1852) Carl Jacobi (1804-1851) Eisenstein series

$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n, \quad q = e^{2\pi i\tau},$$

where $\sigma_{k-1}(n) = \sum_{0 < d \mid n} d^{k-1}.$

Coefficients: Special zeta-value and divisor function.

Matching Jacobi's Theta-series with Eisenstein series, one gets:

$$\#\{x \in \mathbb{Z}^4 \mid x_1^2 + x_2^2 + x_3^2 + x_4^2 = n\} = 8 \sum_{4 \nmid d \mid n, 1 \le d \le n} d.$$

Another view on Eisenstein series.

Recall:
$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n$$
.

Another view on Eisenstein series.

Recall:
$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n$$
.
Fix a prime ℓ .

 ℓ -adic cyclotomic character: $\chi(\operatorname{Frob}_p) = p$.

Another view on Eisenstein series.

Recall:
$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n$$
.
Fix a prime ℓ .

 ℓ -adic cyclotomic character: $\chi(\operatorname{Frob}_p) = p$.

$$\chi: \mathcal{G}_{\mathbb{Q}} = \mathsf{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \twoheadrightarrow \mathsf{Gal}(\mathbb{Q}(\zeta_{\ell^n} | n \in \mathbb{N}) \to \mathbb{Z}_{\ell}^{\times}$$

given by the action on the *p*-power roots of unity:

$$\sigma(\zeta_{\ell^n}) = \zeta_{\ell^n}^{\chi(\sigma)}$$

Particularly, $\operatorname{Frob}_p(\zeta_{\ell^n}) = \zeta_{\ell^n}^p = \zeta_{\ell^n}^{\chi(\operatorname{Frob}_p)}$, whence $\chi(\operatorname{Frob}_p) = p$.

Another view on Eisenstein series.

Recall:
$$E_k = \frac{(k-1)!}{(2\pi i)^k} \cdot \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) \cdot q^n$$
.
Fix a prime ℓ .

 ℓ -adic cyclotomic character: $\chi(Frob_p) = p$. Consider the reducible semi-simple Galois representation

$$\rho := 1 \oplus \chi^{k-1} : G_{\mathbb{Q}} \to \mathrm{GL}_2(\mathbb{Z}_p), \ \rho(\sigma) = \begin{pmatrix} 1 & 0 \\ 0 & \chi^{k-1}(\sigma) \end{pmatrix}.$$

In particular,

$$\rho(\mathsf{Frob}_p) = \begin{pmatrix} 1 & 0 \\ 0 & \chi^{k-1}(\mathsf{Frob}_p) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & p^{k-1} \end{pmatrix}.$$

Then $\operatorname{Tr}(\rho(\operatorname{Frob}_p)) = 1 + p^{k-1} = \sigma_{k-1}(p).$

This is the p-th coefficient of the Eisenstein series of weight k.

Eisenstein series: $Tr(\rho(Frob_p)) = 1 + p^{k-1} = \sigma_{k-1}(p)$.

The Eisenstein series example is a very special case of a general theorem of Shimura and Deligne:

Eisenstein series: $Tr(\rho(Frob_p)) = 1 + p^{k-1} = \sigma_{k-1}(p)$.

The Eisenstein series example is a very special case of a general theorem of Shimura and Deligne:

Let $f = \sum_{n=0}^{\infty} a_n q^n$ be a Hecke eigenform (of level N, Dirichlet character ψ and weight k) with $a_1 = 1$. Let ℓ be a prime. Then there exists a Galois representation

$$\rho_f: \mathcal{G}_{\mathbb{Q}} \to \mathrm{GL}_2(\overline{\mathbb{Z}}_\ell)$$

which is unramified outside N ℓ and satisfies for all primes $p \nmid N\ell$

$$\mathsf{Tr}(
ho(\mathsf{Frob}_{p})) = a_{p} ext{ and } \mathsf{det}(
ho(\mathsf{Frob}_{p})) = \psi(p)p^{k-1}.$$

A concrete (baby) example.

• Let $f = \sum_{n=1}^{\infty} a_n q^n$ a particular modular form with Galois representation $\rho = \rho_f$.

- Let $f = \sum_{n=1}^{\infty} a_n q^n$ a particular modular form with Galois representation $\rho = \rho_f$.
- Let $P(X) = X^6 6X^4 + 9X^2 + 23$. The absolute Galois group of its splitting field is the kernel of ρ_f .

- Let $f = \sum_{n=1}^{\infty} a_n q^n$ a particular modular form with Galois representation $\rho = \rho_f$.
- Let $P(X) = X^6 6X^4 + 9X^2 + 23$. The absolute Galois group of its splitting field is the kernel of ρ_f .

<i>P</i> mod <i>p</i>	$Frob_p$	$\rho(Frob_p)$
()()()()()	identity	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
()()	2 3-cycles	$\begin{pmatrix} \zeta & 0 \\ 0 & \zeta^2 \end{pmatrix}, \begin{pmatrix} \zeta^2 & 0 \\ 0 & \zeta \end{pmatrix}, \zeta = e^{2\pi i/3}$ $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta \\ \zeta^2 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta^2 \\ \zeta & 0 \end{pmatrix}$
()()()	3 2-cycles	$\left(\begin{array}{c}0&1\\1&0\end{array}\right), \left(\begin{array}{c}0&\zeta\\\zeta^2&0\end{array}\right), \left(\begin{array}{c}0&\zeta^2\\\zeta&0\end{array}\right)$

- Let $f = \sum_{n=1}^{\infty} a_n q^n$ a particular modular form with Galois representation $\rho = \rho_f$.
- Let $P(X) = X^6 6X^4 + 9X^2 + 23$. The absolute Galois group of its splitting field is the kernel of ρ_f .

<i>P</i> mod <i>p</i>	Frob _p	$\rho(Frob_p)$	trace
()()()()()	identity	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	2
()()	2 3-cycles	$\begin{pmatrix} \zeta & 0 \\ 0 & \zeta^2 \end{pmatrix}, \begin{pmatrix} \zeta^2 & 0 \\ 0 & \zeta \end{pmatrix}, \zeta = e^{2\pi i/3}$	-1
()()()	3 2-cycles	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta \\ \zeta^2 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta^2 \\ \zeta & 0 \end{pmatrix}$	0

- Let $f = \sum_{n=1}^{\infty} a_n q^n$ a particular modular form with Galois representation $\rho = \rho_f$.
- Let $P(X) = X^6 6X^4 + 9X^2 + 23$. The absolute Galois group of its splitting field is the kernel of ρ_f .

<i>P</i> mod <i>p</i>	Frob _p	$\rho(Frob_p)$	trace	a _p
()()()()()()	identity	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	2	2
()()		$\begin{pmatrix} \zeta & 0 \\ 0 & \zeta^2 \end{pmatrix}, \begin{pmatrix} \zeta^2 & 0 \\ 0 & \zeta \end{pmatrix}, \zeta = e^{2\pi i/3}$	-1	-1
()()()	3 2-cycles	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta \\ \zeta^2 & 0 \end{pmatrix}, \begin{pmatrix} 0 & \zeta^2 \\ \zeta & 0 \end{pmatrix}$	0	0

Natural questions:

(I) How are the a_p distributed?

Natural questions:

- (1) How are the a_p distributed?
- (II) What information is contained in the Galois representation?

Natural questions:

- (1) How are the a_p distributed?
- (II) What information is contained in the Galois representation?
- (III) In how far are Galois representations governed by modular forms?

Fix a Hecke eigenform f of weight k (say, $\psi = 1$).

Fix a Hecke eigenform f of weight k (say, $\psi = 1$).

(1) Distribution modulo ℓ^m .

Chebotarev: The proportion of $\rho_f(\operatorname{Frob}_p) \mod \ell^m$ falling into a given conjugacy class C equals $\frac{\#C}{\#G}$, where G is the image of the Galois representation ρ_f modulo ℓ^m (a finite group).

Fix a Hecke eigenform f of weight k (say, $\psi = 1$).

(1) Distribution modulo ℓ^m .

Chebotarev: The proportion of $\rho_f(\operatorname{Frob}_p) \mod \ell^m$ falling into a given conjugacy class C equals $\frac{\#C}{\#G}$, where G is the image of the Galois representation ρ_f modulo ℓ^m (a finite group).

(2) 'Real distribution'.

Normalise the coefficients $b_{\rho} = \frac{a_{\rho}}{\rho^{(k-1)/2}} \in [-2,2].$

The normalised coefficients are equidistributed with respect to the Sato-Tate measure. Proved very recently by Taylor, etc. (Hard).

Fix a Hecke eigenform f of weight k (say, $\psi=1).$

(3) Lang-Trotter.

Say f comes from a non-CM elliptic curve.

The set $\{p \mid a_p = 0\}$ has density 0 and behaves asymptotically like $c \frac{\sqrt{x}}{\log(x)}$ for some constant c > 0.

Fix a Hecke eigenform f of weight k (say, $\psi = 1$).

(3) Lang-Trotter.

Say f comes from a non-CM elliptic curve.

The set $\{p \mid a_p = 0\}$ has density 0 and behaves asymptotically like $c \frac{\sqrt{x}}{\log(x)}$ for some constant c > 0.

(4) Lang-Trotter-like question.

Say f is of weight 2 (without inner twists) with coefficients in a quadratic field $\mathbb{Q}(\sqrt{D})$. The set $\{p \mid a_p \in \mathbb{Q}\}$ has density 0.

How does it behave asymptotically?

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

(2) 'Real distribution'.

The normalised coefficients $b_p(f_n)$ (p fixed and n running!) are equidistributed.

This is a theorem of Serre (1997)

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

(2) 'Real distribution'.

The normalised coefficients $b_p(f_n)$ (*p* fixed and *n* running!) are equidistributed.

This is a theorem of Serre (1997)

(1) Distribution modulo ℓ^m .

What can one say about $a_p(f_n) \mod \ell^m$ for p fixed and running n?

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

(2) 'Real distribution'.

The normalised coefficients $b_p(f_n)$ (*p* fixed and *n* running!) are equidistributed.

This is a theorem of Serre (1997)

(1) Distribution modulo ℓ^m .

What can one say about $a_p(f_n) \mod \ell^m$ for p fixed and running n?

Related: Let f run through all Hecke eigenforms of weight 2 and all prime levels. Are the mod ℓ reductions of all the coefficients of all these forms contained in a finite extension of \mathbb{F}_{ℓ} ?

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

(2) 'Real distribution'.

The normalised coefficients $b_p(f_n)$ (*p* fixed and *n* running!) are equidistributed.

This is a theorem of Serre (1997)

(1) Distribution modulo ℓ^m .

What can one say about $a_p(f_n) \mod \ell^m$ for p fixed and running n?

Related: Let f run through all Hecke eigenforms of weight 2 and all prime levels. Are the mod ℓ reductions of all the coefficients of all these forms contained in a finite extension of \mathbb{F}_{ℓ} ?

I guess 'no', but I cannot prove it.

Fix a prime number p and consider a sequence of Hecke eigenforms f_n such that weight+level tend to infinity.

(2) 'Real distribution'.

The normalised coefficients $b_p(f_n)$ (*p* fixed and *n* running!) are equidistributed.

This is a theorem of Serre (1997)

(1) Distribution modulo ℓ^m .

What can one say about $a_p(f_n) \mod \ell^m$ for p fixed and running n?

Related: Let f run through all Hecke eigenforms of weight 2 and all prime levels. Are the mod ℓ reductions of all the coefficients of all these forms contained in a finite extension of \mathbb{F}_{ℓ} ?

I guess 'no', but I cannot prove it.

Computations carried out with Marcel Mohyla suggest that the maximum residue degree in level q grows linearly with q.

The Galois representation ρ_f attached to f explains arithmetic significance of the coefficients. What else?

The Galois representation ρ_f attached to f explains arithmetic significance of the coefficients. What else?

Theorem. If f is of weight one, prime-to- ℓ level and geometrically defined over $\overline{\mathbb{F}}_{\ell}$, then ρ_f is unramified at ℓ . Moreover, this characterises weight one among all weights (at least if $\ell > 2$).

The Galois representation ρ_f attached to f explains arithmetic significance of the coefficients. What else?

Theorem. If f is of weight one, prime-to- ℓ level and geometrically defined over $\overline{\mathbb{F}}_{\ell}$, then ρ_f is unramified at ℓ . Moreover, this characterises weight one among all weights (at least if $\ell > 2$).

The theorem is trivial for Hecke eigenforms that are reductions of holomorphic forms (because those have attached Artin representations, and there is not even any ' ℓ ').

The Galois representation ρ_f attached to f explains arithmetic significance of the coefficients. What else?

Theorem. If f is of weight one, prime-to- ℓ level and geometrically defined over $\overline{\mathbb{F}}_{\ell}$, then ρ_f is unramified at ℓ . Moreover, this characterises weight one among all weights (at least if $\ell > 2$).

The theorem is trivial for Hecke eigenforms that are reductions of holomorphic forms (because those have attached Artin representations, and there is not even any ' ℓ ').

However, not all parallel weight one Hecke eigenforms that are geometrically defined over $\overline{\mathbb{F}}_\ell$ lift to holomorphic forms.

Theorem (Dimitrov, W.). Let f be a Hilbert modular eigenform (over any totally real field F) of parallel weight one, geometrically defined over $\overline{\mathbb{F}}_{\ell}$, of level prime to ℓ . Then the attached Galois representation

$$\rho_f: G_F = \operatorname{Gal}(\overline{F}/F) \to \operatorname{GL}_2(\overline{\mathbb{F}}_\ell)$$

is unramified above ℓ .

Theorem (Dimitrov, W.). Let f be a Hilbert modular eigenform (over any totally real field F) of parallel weight one, geometrically defined over $\overline{\mathbb{F}}_{\ell}$, of level prime to ℓ . Then the attached Galois representation

$$\rho_f: G_F = \operatorname{Gal}(\overline{F}/F) \to \operatorname{GL}_2(\overline{\mathbb{F}}_\ell)$$

is unramified above ℓ .

It is believed and partially proved that this characterises parallel weight one forms among all Hilbert Hecke eigenforms.

Theorem (Dimitrov, W.). Let f be a Hilbert modular eigenform (over any totally real field F) of parallel weight one, geometrically defined over $\overline{\mathbb{F}}_{\ell}$, of level prime to ℓ . Then the attached Galois representation

$$\rho_f: G_F = \operatorname{Gal}(\overline{F}/F) \to \operatorname{GL}_2(\overline{\mathbb{F}}_\ell)$$

is unramified above ℓ .

It is believed and partially proved that this characterises parallel weight one forms among all Hilbert Hecke eigenforms.

The theorem is again trivial for Hilbert modular forms that are reductions of holomorphic forms (because those have attached Artin representations, and there is not even any ' ℓ ').

Theorem (Dimitrov, W.). Let f be a Hilbert modular eigenform (over any totally real field F) of parallel weight one, geometrically defined over $\overline{\mathbb{F}}_{\ell}$, of level prime to ℓ . Then the attached Galois representation

$$\rho_f: G_F = \operatorname{Gal}(\overline{F}/F) \to \operatorname{GL}_2(\overline{\mathbb{F}}_\ell)$$

is unramified above ℓ .

It is believed and partially proved that this characterises parallel weight one forms among all Hilbert Hecke eigenforms.

The theorem is again trivial for Hilbert modular forms that are reductions of holomorphic forms (because those have attached Artin representations, and there is not even any ' ℓ ').

Are there parallel weight one Hilbert eigenforms that are geometrically defined over $\overline{\mathbb{F}}_{\ell}$ which do not lift to holomorphic forms?

Theorem (Khare, Wintenberger, Deligne, Shimura). We have a correspondence

Theorem (Khare, Wintenberger, Deligne, Shimura). We have a correspondence

$$\{f = \sum_{n=0}^{\infty} a_n q^n \mid f \text{ Hecke eigenform } \}$$
$$\ \ \, \uparrow \ \ f \mapsto \rho_f$$
$$\{\rho : G_{\mathbb{Q}} \to \operatorname{GL}_2(\overline{\mathbb{F}}_{\ell}) \mid \rho \text{ odd, semi-simple } \}.$$

For Hilbert modular forms, such a correspondence is conjectured.

Theorem (Khare, Wintenberger, Deligne, Shimura). We have a correspondence

For Hilbert modular forms, such a correspondence is conjectured. Modular forms are explicitly computable. This makes Galois

representations computationally accessible.

Theorem (Khare, Wintenberger, Deligne, Shimura). We have a correspondence

For Hilbert modular forms, such a correspondence is conjectured.

Modular forms are explicitly computable. This makes Galois representations computationally accessible.

Standard methods work for weights ≥ 2 . Weight 1 is different!

Thank you for your attention!