

# Computations of weight 1 modular forms over finite fields

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Intercity Number Theory Seminar

Utrecht, 7 March 2003

\* Supported by the European Research Training Network “Arithmetic Algebraic Geometry”.

## Plan of the talk

Created MAGMA functions for the computation of Katz cusp forms of weight 1 for  $\Gamma_0(N)$  over  $\overline{\mathbb{F}_2}$ .

In this talk I want to tell you:

- *how* we compute them,  
(theorem by Bas Edixhoven)
- *why* we compute them and  
(Galois representations)
- *what* we got so far.  
(You'll see some numbers.)

## Modular forms

*Cusp forms of weight  $k \geq 1$  and level  $N \geq 5$ :*

analytic/classical	algebro-geometric
$S_k^{\text{cl}}(\Gamma_1(N), \mathbb{C})$	$S_k^{\text{Katz}}(\Gamma_1(N), R)$ for $\mathbb{Z}[1/N]$ -alg. $R$
$f : \mathbb{H} \rightarrow \mathbb{C}$ hol. s.t. $\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1(N) :$ $f\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^k f(\tau)$ + cond. on cusps	global sections of some sheaf of differentials on $Y_1(N)_R$ + cond. on cusps

## Modular forms

In both settings one has a

$q$ -expansion at  $\infty$ :  $f = \sum_{n \geq 1} a_n(f)q^n$

$$\mathcal{S}_k^{\text{cl}}(\Gamma_1(N), \mathbb{Z}) := \{ f = \sum_{n \geq 1} a_n q^n \mid a_n \in \mathbb{Z} \}$$

$$\mathcal{S}_k^{\text{cl}}(\Gamma_1(N), R) := \mathcal{S}_k^{\text{cl}}(\Gamma_1(N), \mathbb{Z}) \otimes_{\mathbb{Z}} R$$

“Classical setting = Katz setting” if

- (i)  $k \geq 2$  or
- (ii)  $R$  flat over  $\mathbb{Z}$  (in part.:  $R \subseteq \mathbb{C}$ )

In general: “classical”  $\subseteq$  “Katz”

$\Rightarrow$  weight 1 over finite fields is special!

## Modular forms

Diamond operators  $\langle a \rangle$  for  $a \in (\mathbb{Z}/N)^*$

$\Rightarrow$  group action by  $(\mathbb{Z}/N)^*$

For a character  $\epsilon : (\mathbb{Z}/N)^* \rightarrow R$  set

$$\mathcal{S}_k(\Gamma_1(N), \epsilon, R) := \{ f \mid \langle a \rangle f = \epsilon(a)f \ \forall a \}.$$

$$\mathcal{S}_k(\Gamma_0(N), R) = \mathcal{S}_k(\Gamma_1(N), \text{trivial}, R)$$

Hecke operators  $T_n$  for  $n \in \mathbb{N}$

For a prime  $l$ , set

$$a_n(T_l f) = \begin{cases} a_{ln}(f) & (l \mid N) \\ a_{ln}(f) + l^{k-1} a_{n/l}(\langle l \rangle f) & (l \nmid N) \end{cases}$$
$$T_{l^{r+1}} = \begin{cases} T_l \circ T_{l^r} & (l \mid N) \\ T_l \circ T_{l^r} - l^{k-1} \langle l \rangle \circ T_{l^r-1} & (l \nmid N) \end{cases}$$
$$T_{nm} = T_n \circ T_m \quad ((n, m) = 1)$$

In particular:

$$a_1(T_n f) = a_n(f)$$

## Hecke algebra

$\mathbb{T}_k(\mathbb{Z})$  :  $\mathbb{Z}$ -alg. gen. by  $T_n \in \text{End}_{\mathbb{C}}(\mathcal{S}_k(\mathbb{C}))$ ,

$\mathbb{T}_k(\mathbb{F})$  :  $\mathbb{F}$ -alg. gen. by  $T_n \in \text{End}_{\mathbb{F}}(\mathcal{S}_k(\mathbb{F}))$ .

They are free of finite rank, commutative and generated by the  $T_n$  as modules.

Isom. of  $\mathbb{T}_k(\mathbb{Z})$ -modules

$$\mathcal{S}_k(\mathbb{Z}) \cong \text{Hom}_{\mathbb{Z}}(\mathbb{T}_k(\mathbb{Z}), \mathbb{Z})$$

$$f \mapsto (T_n \mapsto a_1(T_n f) = a_n(f)).$$

$f \in \mathcal{S}_k(\overline{\mathbb{F}_p})$  Hecke eigenform

$$\Rightarrow a_1(T_n f) = \lambda_n a_1(f) = a_n(f)$$

$f$  normalised eigenform  $\Leftrightarrow T_n f = a_n(f) f \ \forall n$

Coeff. of norm. eigenforms =

eigenvalues of Hecke operators

## Hecke algebra

- $\mathcal{S}_k^{\text{cl}}(\Gamma_1(N), \overline{\mathbb{Q}})$  has a basis of normalized eigenforms if  $N$  is prime.
- For  $\mathcal{S}_k(\overline{\mathbb{F}_p})$  wrong in general:  $f \in \mathbb{Z}[X]$  prime can have multiple roots mod  $p$ .
- $\mathbb{T}_k(\mathbb{F}_p) = \prod_i^n \mathbb{T}_i$  with  $\mathbb{T}_i$  local  $\mathbb{F}_p$ -algebras
- $\mathbb{T}_i \otimes \overline{\mathbb{F}_p} = \prod_j^{m_i} \mathbb{T}_{i,j}$  with  $\mathbb{T}_{i,j}$  local  $\overline{\mathbb{F}_p}$ -algebras
- Each  $T_{i,j}$  corresponds to an eigenform with coefficients in  $\mathbb{T}_i/\mathfrak{m}_i = \mathbb{F}_{p^{m_i}}$  ( $\mathfrak{m}_i = \text{max.id.}$ )
- For fixed  $i$ , these eigenforms are conjugate via  $G_{\mathbb{F}_{p^{m_i}}|\mathbb{F}_p}$ .
- I define  $\text{UPO}(\mathbb{T}_i) = \min \{ n \mid (\mathfrak{m}_i)^n = (0) \}$ .

## Computing the Hecke algebra

$$k \geq 2$$

Isomorphisms of Hecke modules:

$$\begin{array}{c} H^1_{\text{par}}(\Gamma_1(N), \mathcal{F}_k(\mathbb{C}))(\epsilon) \\ \downarrow \sim \\ \text{Cuspidal modular symbols}_k(\Gamma_1(N), \epsilon, \mathbb{C}) \\ \downarrow \sim \\ \mathcal{S}_k^{\text{cl}}(\Gamma_1(N), \epsilon, \mathbb{C}) \oplus \overline{\mathcal{S}_k^{\text{cl}}(\Gamma_1(N), \epsilon, \mathbb{C})} \end{array}$$

MAGMA provides functions to compute the  $T_n$  on cuspidal modular symbols for  $\Gamma_1(N)$  with character in weight  $k \geq 2$ .

$$k = 1 \text{ is different!}$$

## Computing eigenforms of weight 1

$N \geq 5$ ,  $\mathbb{F}/\mathbb{F}_p$  finite extension,

$\epsilon : (\mathbb{Z}/N)^* \rightarrow \mathbb{F}^*$  character

Frobenius:

$F : \mathcal{S}_1^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F}) \rightarrow \mathcal{S}_p^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F})$

$$a_n(Ff) = \begin{cases} a_{n/p}(f) & (p \mid n) \\ 0 & (p \nmid n) \end{cases}$$

**Proposition.**

$$B := \frac{p+2}{12}N \prod_{l \mid N, \text{prime}} (1 + \frac{1}{l}).$$

Let  $f \in \mathcal{S}_p^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F})$ .

$$f \in \text{Image}(F) \Leftrightarrow$$

$$a_n(f) = 0 \quad \forall n \leq B, p \nmid n$$

## Computing eigenforms of weight 1

$$\mathbb{T} := \mathbb{T}_p^{\text{cl}}(\Gamma_1(N), \mathbb{Z})$$

$$\begin{array}{ccc}
 f & & \mathcal{S}_1^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F}) \\
 \downarrow & & \downarrow F \\
 & & \mathcal{S}_p^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F}) \\
 & & \downarrow \sim \\
 & & (\mathcal{S}_p^{\text{Katz}}(\Gamma_1(N), \mathbb{F}))(\epsilon) \\
 & & \downarrow \sim \\
 & & ((\mathcal{S}_p^{\text{cl}}(\Gamma_1(N), \mathbb{Z})) \otimes \mathbb{F})(\epsilon) \\
 & & \downarrow \sim \\
 & & ((\text{Hom}_{\mathbb{Z}}(\mathbb{T}, \mathbb{Z}) \otimes \mathbb{F})(\epsilon) \\
 & & \downarrow \sim \\
 & & (\mathbb{T} \otimes \mathbb{F})^{\vee_{\mathbb{F}}}(\epsilon) \\
 & & \parallel \\
 \phi & & (\mathbb{T} \otimes \mathbb{F})^{\vee_{\mathbb{F}}} \left[ \epsilon(a) - \langle a \rangle \mid a \in (\mathbb{Z}/N)^* \right]
 \end{array}$$

$$\phi = (T_n \otimes 1 \mapsto \begin{cases} a_{n/p}(f) & (p \mid n) \\ 0 & (p \nmid n) \end{cases})$$

## Computing eigenforms of weight 1

**Theorem (Edixhoven).**

Isomorphism of Hecke modules

$$S_1^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F}) \cong \left( (\mathbb{T} \otimes \mathbb{F}) / \mathcal{R} \right)^{\vee_{\mathbb{F}}}$$

with  $\mathcal{R} \leq \mathbb{T} \otimes \mathbb{F}$  the sub- $\mathbb{F}$ -v.s. gener. by

- $T_n \quad \forall n \leq B, p \nmid n$  and
- $\epsilon(l) - \langle l \rangle \quad \forall l \in (\mathbb{Z}/N)^*$ .

$T_l$  corresponds to  $T_l$  ( $l \neq p$  prime),

$T_p$  corresponds to  $T_p + \langle p \rangle F$ .

⇒ Know  $\mathbb{T}_1^{\text{Katz}}(\Gamma_1(N), \epsilon, \mathbb{F})$ .  
⇒ Can compute weight 1 eigenforms.

Problem: Computation of  $\mathbb{T}$  very slow!

## Computing eigenforms of weight 1

$\epsilon = \text{trivial character, } \mathbb{F}|\mathbb{F}_2,$

$\mathbb{T}^{(i)} := \mathbb{T}_2^{\text{cl}}(\Gamma_i(N), \mathbb{Z}) \text{ for } i \in \{0, 1\}$

$$\left(\mathbb{T}^{(1)}/(1 - \langle l \rangle)\right)_{\text{free}} \cong \mathbb{T}^{(0)}$$

Get injection of Hecke modules:

$$\phi : ((\mathbb{T}^{(0)} \otimes \mathbb{F})/(T_n \mid 2 \nmid n))^{\vee} \hookrightarrow S_1^{\text{Katz}}(\Gamma_0(N), \mathbb{F})$$

**Proposition:**

If  $\exists q \text{ prime s.t. } q \mid N \text{ and } q \equiv 3 \pmod{4}$ ,

then  $\phi$  above is an isomorphism.

We calculate Hecke operators on  
 $(\mathbb{T}^{(0)} \otimes \mathbb{F})/(T_n \mid 2 \nmid n, n \leq B).$

## Galois representations

**Theorem (Deligne).**

Let  $f \in \mathcal{S}_k^{\text{Katz}}(\Gamma_1(N), \epsilon, \overline{\mathbb{F}_p})$  an eigenform.

$\Rightarrow \exists!$  contin., semi-simple, odd repres.

$\rho_f : G_{\mathbb{Q}} \rightarrow \text{GL}_2(\overline{\mathbb{F}_p})$  such that

- $\rho_f$  unramified outside  $pN$ ,
- $\text{Tr}(\rho_f(\text{Frob}_l)) = a_l(f)$  and

$\text{Det}(\rho_f(\text{Frob}_l)) = \epsilon(l)l^{k-1} \forall l \nmid Np$ .

$f$  is reduction of a char. 0 form of weight 1,

$\Rightarrow \rho_f$  is the reduction of a rep. over  $\mathbb{C}$ .

I call the group  $\text{Im}(\rho_f) \subset \text{GL}_2(\overline{\mathbb{F}_p})$

the *group of*  $\rho_f$  (resp.  $f$ ).

## Galois representations

Let  $\rho : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\overline{\mathbb{F}_p})$  a contin., irreducible, odd representation, unramified at  $p$ .

$$\Rightarrow \mathrm{Det} \circ \rho = \epsilon_{\rho} \circ \chi_{N_{\rho}}$$

for unique  $\epsilon_{\rho} : (\mathbb{Z}/N_{\rho})^* \rightarrow \overline{\mathbb{F}_p}^*$ , where

$N_{\rho}$  Artin conductor,  $\chi_{N_{\rho}}$  cyclotomic char.

**Serre-Conjecture (1<sup>st</sup> version 1987).**

$\exists$  eigenform  $f \in \mathcal{S}_1^{\mathrm{Katz}}(\Gamma_1(N_{\rho}), \epsilon_{\rho}, \overline{\mathbb{F}_p})$

such that  $\rho = \rho_f$ .

**Theorem (many people).**

$p \neq 2$  and  $\rho = \rho_g$  for some eigenform  $g$

$\Rightarrow \rho = \rho_f$  with  $f$  as in conjecture.

$p = 2$  unknown, exceptional case

## Galois representations

Let  $\rho : G_{\mathbb{Q}} \rightarrow \mathrm{SL}_2(\overline{\mathbb{F}_2}) = \mathrm{PSL}_2(\overline{\mathbb{F}_2})$ .

Some facts:

- $\#\mathrm{SL}_2(\mathbb{F}_{2^r}) = (2^r - 1)2^r(2^r + 1)$
- $\mathrm{SL}_2(\mathbb{F}_{2^r})$  simple if  $r > 1$
- subgroups of  $\mathrm{SL}_2(\mathbb{F}_{2^r})$  are (up to conj.)
  - $\mathrm{SL}_2(\mathbb{F}_{2^s})$  with  $s \mid r$  ( $\Rightarrow \rho$  irreducible)
  - dihedral groups  $D_{2n}$  with  $n \mid 2^r - 1$  or  $n \mid 2^r + 1$  ( $\Rightarrow \rho$  irreducible)
  - cyclic groups of order  $n$  with  $n \mid 2^r - 1$  or  $n \mid 2^r + 1$  ( $\Rightarrow \rho$  reducible)
  - subgroups of upper triang. matrices (order  $\mid 2^r(2^r - 1)$ ) ( $\Rightarrow \rho$  reducible)
- can often distinguish elements of different order by their traces

## Galois representations

**Theorem (essentially Hecke).**

Serre's conjecture is true for representations with dihedral image.

Let  $N \in \mathbb{N}$  square-free.

$$\text{Let } K = \begin{cases} \mathbb{Q}(\sqrt{N}) & \text{if } N \equiv 1(4) \\ \mathbb{Q}(\sqrt{-N}) & \text{if } N \equiv 3(4). \end{cases}$$

Take  $\mathbb{Q} \subset K \subseteq L \subseteq H_K$ , with

$[L : K] =: u$  maximal odd.

$\Rightarrow \exists (u-1)$  non-trivial  $\chi : G_{L|K} \rightarrow \overline{\mathbb{F}_2}^*$ .

$\Rightarrow \exists (u-1)/2$  irreducible dihedral repres.

$$\text{Ind}_{G_{L|K}}^{G_{L|\mathbb{Q}}} \chi : G_{L|\mathbb{Q}} \rightarrow \text{SL}_2(\overline{\mathbb{F}_2})$$

(Artin conductor =  $N$ )

$\Rightarrow \exists \frac{u-1}{2}$  dihedral eigenforms of weight 1, level  $N$ , trivial character

## Some data

First calculations done by Mestre in 1987(!).

Written down in a letter to Serre.

Verified them nearly completely.

We did (can do much more):

- prime levels  $5 \leq N < 2100$ ,
- odd levels  $5 \leq N < 1000$

Results in prime levels:

- all representation irreducible,
- all Hecke algebras locally  $\mathbb{F}_{2^m}[x]/(x^n)$

In non-prime level some non-Gorenstein cases.

Today focus on eigenforms for

- dihedral group,
- $\mathrm{SL}_2(\mathbb{F}_{2^2}) \cong A_5$ ,
- $\mathrm{SL}_2(\mathbb{F}_{2^3})$ .

## Some data - a dihedral example

- Example  $N = 2063$ : prime,  $N \equiv 3 \pmod{4}$
- $\dim \mathcal{S}_1^{\text{Katz}}(\Gamma_0(N), \overline{\mathbb{F}_2}) = 26$
- $K := \mathbb{Q}(\sqrt{-2063})$ ,  $\text{CL}_K = \mathbb{Z}/45$
- $22 = (45 - 1)/2$  dihedral reps; concretely:
  - $\varphi(45)/2 = 12$  with group  $D_{90}$  over  $\mathbb{F}_{2^{12}}$ ,
  - $\varphi(15)/2 = 4$  with group  $D_{30}$  over  $\mathbb{F}_{2^4}$ ,
  - $\varphi(9)/2 = 3$  with group  $D_{18}$  over  $\mathbb{F}_{2^3}$ ,
  - $\varphi(5)/2 = 2$  with group  $D_{10}$  over  $\mathbb{F}_{2^2}$ ,
  - $\varphi(3)/2 = 1$  with group  $D_6$  over  $\mathbb{F}_2$ .
- Find  $\mathbb{T} = \prod_{i=1}^5 \mathbb{T}_i$  over  $\mathbb{F}_2$  with:
  - $\mathbb{T}_1$  :  $\dim = 12$ , UPO = 1, 12 max. ideals,
  - $\mathbb{T}_2$  :  $\dim = 4$ , UPO = 1, 4 max. ideals,
  - $\mathbb{T}_3$  :  $\dim = 3$ , UPO = 1, 3 max. ideals,
  - $\mathbb{T}_4$  :  $\dim = 6$ , UPO = 3, 2 max. ideals,
  - $\mathbb{T}_5$  :  $\dim = 1$ , UPO = 1, 1 max. ideal.

## Some data - $A_5$ -fields

- $p = 2$  allows also totally real fields.
- Prime levels with an  $A_5$ -eigenform:  
653, 1061, 1381, 1553, 1733, 2029,  
2053, 2083
- Example  $N = 2083$ : prime,  $N \equiv 3 \pmod{4}$
- $\dim \mathcal{S}_1^{\text{Katz}}(\Gamma_0(N), \overline{\mathbb{F}_2}) = 7$
- $K := \mathbb{Q}(\sqrt{-2083})$ ,  $\text{CL}_K = \mathbb{Z}/7$
- Expect  $3 = (7 - 1)/2$  dihedral reps.
- Find  $\mathbb{T} = \mathbb{T}_1 \times \mathbb{T}_2$  over  $\mathbb{F}_2$  with:
  - $\mathbb{T}_1$  : dim = 3, UPO = 1, 3 max. ideals,  
corresponds to  $D_{14}$  ( $\varphi(7)/2 = 3$ ),
  - $\mathbb{T}_2$  : dim = 4, UPO = 2, 2 max. ideals,  
corresponds to  $A_5$ .

## Some data - $SL_2(\mathbb{F}_8)$ -fields

- $SL_2(\mathbb{F}_8) \not\subseteq GL_2(\mathbb{C})$   
⇒  $SL_2(\mathbb{F}_8)$ -eigenforms are not reductions from char. 0, i.e. “Katz ≠ classical”.
- Prime levels with an  $SL_2(\mathbb{F}_8)$ -eigenform:  
1429, 1567, 1613, 1693, 1997, 2017, 2089

## Some data - $SL_2(\mathbb{F}_8)$ -fields

- Example  $N = 1567$ : prime,  $N \equiv 3 \pmod{4}$
- $\dim S_1^{\text{Katz}}(\Gamma_0(N), \overline{\mathbb{F}_2}) = 13$
- $K := \mathbb{Q}(\sqrt{-1567})$ ,  $\text{CL}_K = \mathbb{Z}/15$
- Expect  $7 = (15 - 1)/2$  dihedral reps.
- Find  $\mathbb{T} = \prod_{i=1}^4 \mathbb{T}_i$  over  $\mathbb{F}_2$  with:
  - $\mathbb{T}_1$  :  $\dim = 4$ ,  $\text{UPO} = 1$ , 4 max. ideals,  
corresponds to  $D_{30}$  ( $\varphi(15)/2 = 4$ ),
  - $\mathbb{T}_2$  :  $\dim = 2$ ,  $\text{UPO} = 1$ , 2 max. ideals,  
corresponds to  $D_{10}$  ( $\varphi(5)/2 = 2$ ),
  - $\mathbb{T}_3$  :  $\dim = 1$ ,  $\text{UPO} = 1$ , 1 max. ideal,  
corresponds to  $D_6$  ( $\varphi(3)/2 = 1$ ),
  - $\mathbb{T}_4$  :  $\dim = 6$ ,  $\text{UPO} = 2$ , 3 max. ideals,  
corresponds to  $SL_2(\mathbb{F}_8)$