# Eta Products and Models for Modular Curves 

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"Are we not drawn onward, we few, drawn onward to new era?"

## Cusps, as Families of Tate Curves

Definition: $X_{0}(N)$ is the projective curve whose points over $\mathbb{C}_{p}$ correspond to pairs $(E, C)$ where $E$ is a generalized elliptic curve and $C \subseteq E$ is a cyclic subgroup of order $N$ which meets every component of $E$.

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Definition: For $d \mid N$, the canonical families of width $d$ on $X_{0}(N)$ are given by $\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right)$, where $\zeta$ is any primitive $r^{\text {th }}$ root of unity such that $\operatorname{lcm}(r, d)=N$.

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Each family defines a rigid map from the disk $|q|<\epsilon$ into $X_{0}(N)$ which takes $q=0$ to one of the cusps $\left(z \rightarrow z^{\operatorname{gcd}(d, N / d)}\right.$ followed by an injection). Note: it is easy to check when two families represent the same cusp (there are $\phi(\operatorname{gcd}(d, N / d))$ different ones of width $d$ ).

Lemma: $\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right) \sim\left(\mathbb{C}_{p}^{*} / q_{1}^{d},\left\langle\zeta q_{1}\right\rangle\right)$ if and only if $q^{\operatorname{gcd}(d, N / d)}=q_{1}^{\operatorname{gcd}(d, N / d)}$.

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$\mathbf{P f} /$ Let $\lambda$ be a primitive $N^{\text {th }}$ root of unity. So $\zeta=\lambda^{j N / r}$ for some $j$ with
$(j, r)=1$. Then the two elliptic curves are isomorphic if and only if $q_{1}=(\lambda)^{k N / d} q$ for some $k$.

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First suppose $\left\langle\lambda^{j N / r} q\right\rangle=\left\langle\lambda^{j N / r} \lambda^{k N / d} q\right\rangle$. Then

$$
\begin{aligned}
& j N / r=(j N / r+k N / d)(1+l d)+m N \\
& 0=k N / d+l d j N / r+l d k N / d+m N
\end{aligned}
$$

Thus, we see that $d \mid(k N / d)$. So

$$
\left.\frac{d}{\operatorname{gcd}(d, N / d)} \right\rvert\, k .
$$

Therefore $q_{1}^{\operatorname{gcd}(d, N / d)}=\lambda^{k N \operatorname{gcd}(d, N / d) / d} q^{d}=q^{d}$.
The other direction is similar.

## The Tate Curve $\mathbb{C}_{p}^{*} / q^{d}$ with Some of its N -torsion



## Definition of Modular Forms

Definition: A modular form of weight $k$ for $\Gamma_{0}(N)$ is a function which takes pairs $(E, C)$ as input, and outputs a section of $\Omega_{E}^{\otimes k}$. (sufficiently well-behaved, of course)

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So at this point, we've defined $q$-expansions associated to families of Tate curves, based on the moduli-theoretic definition of modular forms. Why?

## Pullbacks by Level-Lowering Maps

Suppose that $M \ell \mid N$. It is well-known that we have maps, $\pi_{\ell}: X_{0}(N) \rightarrow X_{0}(M)$, defined by

$$
\pi_{\ell}(E, C)=(E / C[\ell], C[M \ell] / C[\ell])
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We can also define the $\pi_{\ell}$ pullback of a modular form $f$ for $\Gamma_{0}(M)$.

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Definition: Let $f$ be a weight $k$ modular form for $\Gamma_{0}(M)$, with $M \ell \mid N$ as above. Then we define

$$
\left(\pi_{\ell}^{*} f\right)(E, C)=\iota^{*}(f(E / C[\ell], C[M \ell] / C[\ell]))
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where $\iota: E \rightarrow E / C[\ell]$ is the canonical isogeny and

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Big Idea: We can use Tate curve calculations to compute the $q$-expansions of $\pi_{\ell}^{*} f$ in terms of the $q$-expansions of $f$.

Theorem 1: Suppose $r, d, M$, and $\ell$ are positive divisors of $N$, such that $M \ell \mid N$ (so $\pi_{\ell}: X_{0}(N) \rightarrow X_{0}(M)$ ) and Icm $(d, r)=N$. Suppose $\zeta$ is a primitive $r^{\text {th }}$ root of unity. Suppose $f$ is any weight $k$ modular form for $\Gamma_{0}(M)$.

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Let $g=\operatorname{gcd}(d, N / \ell)$, and find $a, b \in \mathbb{Z}$ s.t. $a d+b(N / \ell)=g$.

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If

$$
f\left(\mathbb{C}_{p}^{*} /\left(\zeta^{b N g / d} q^{\ell g^{2} / d}\right),\left\langle(\zeta q)^{\ell g / d}\right\rangle[M]\right)=f(q)\left(\frac{\mathrm{d} z}{z}\right)^{\otimes k}
$$

then

$$
\pi_{\ell}^{*} f\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right)=f(q)\left(\frac{\ell g}{d}\right)^{k}\left(\frac{d z}{z}\right)^{\otimes k}
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pf/ We want to compute $\pi_{\ell}^{*} f$ on $\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right)$ using the definition of $\pi_{\ell}^{*}$, and in this case $E=\mathbb{C}_{p}^{*} / q^{d}$ and $C=\langle\zeta q\rangle$. So first we apply
$\iota: E \rightarrow E / C[\ell]$ to get $\mathbb{C}_{p}^{*} /\left\langle q^{d},(\zeta q)^{N / \ell}\right\rangle$. Now use

$$
\mathbb{C}_{p}^{*} /\left\langle q^{d},(\zeta q)^{N / \ell}\right\rangle \stackrel{\cong}{\rightrightarrows} \mathbb{C}_{p}^{*} /\left(\zeta^{b N g / d} q^{\ell g^{2} / d}\right) \quad z \mapsto z^{\ell g / d}
$$

Although we will only use the theorem to pull back forms of level 1 (in particular $\Delta$ ), the theorem does tell us explicitly how to obtain the $q$-expansions of $\pi_{\ell}^{*} f$ at any cusp, given the $q$-expansions at the image cusp. (It's just a substitution, since $q$ is just a number here!)

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Corollary 1.1: Suppose $f$ is a form for $\Gamma_{0}(1)$ with $q$-expansion $f(q)$. Then the expansion at $\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right)$ of $\pi_{\ell}^{*} f$ is given by

$$
f\left(\zeta^{b N g / d} q^{\ell g^{2} / d}\right)\left(\frac{\ell g}{d}\right)^{k}
$$

## Part II

Eta Products

## Ligozat's Criteria

Definition: Let $\Delta$ be the usual weight 12 level 1 cusp form, with $q$-expansion $\Delta(q)$. Let $\eta(q)=(\Delta(q))^{1 / 24}$. So

$$
\eta(q)=q^{1 / 24} \prod_{n=1}^{\infty}\left(1-q^{n}\right)
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An eta product is an expression of the form $\prod_{\ell \mid N}\left(\eta\left(q^{\ell}\right)\right)^{r_{\ell}}$.

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An eta product is an expression of the form $\prod_{\ell \mid N}\left(\eta\left(q^{\ell}\right)\right)^{r_{\ell}}$.
Theorem 2: (Ligozat) An eta product is a weight 0 modular form, i.e. a modular function, on $X_{0}(N)$, if and only if
(i) $\sum r_{d}=0$
(ii) $\sum d \cdot r_{d} \equiv 0(\bmod 24)$
(iii) $\sum \frac{N}{d} \cdot r_{d} \equiv 0(\bmod 24)$
(iv) $\prod\left(\frac{N}{d}\right)^{r_{d}} \in \mathbb{Q}^{2}$.

## q-expansions of $\Delta$ pullbacks

Theorem 3: For any $\ell \mid N, \Delta\left(q^{\ell}\right)$ is the $q$-expansion at infinity of a weight 12 modular form for $\Gamma_{0}(N)$. Its $q$-expansion associated to the family, $\left(\mathbb{C}_{p}^{*} / q^{d},\langle\zeta q\rangle\right)$ is given by

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\Delta\left(\zeta^{b N g / d} q^{l g^{2} / d}\right)\left(\frac{g}{d}\right)^{12}
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$$

pf/ This is a direct application of Corollary 1.1. The form in question is actually $\ell^{-12} \pi_{\ell}^{*}(\Delta)$. So from the corollary, its expansion is

$$
\ell^{-12} \Delta\left(\zeta^{b N g / d} q^{\ell g^{2} / d}\right)\left(\frac{\ell g}{d}\right)^{12}
$$

Corollary 3.1: The leading term of the $q$-expansion of any delta product (associated to a fixed family) is given by

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In particular, the ord at the corresponding cusp of the corresponding eta product is

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\frac{1}{24 \operatorname{gcd}(d, N / d)} \sum_{\ell \mid N} \frac{\ell \cdot r_{\ell}}{d}(\operatorname{gcd}(d, N / \ell))^{2}
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Moreover, when the ord is 0 at a particular cusp, the value of the corresponding delta product is given (up to an $r^{\text {th }}$ root of unity) by

$$
\prod_{\ell \mid N}\left(\frac{1}{d} \operatorname{gcd}(d, N / \ell)\right)^{12 r_{\ell}} .
$$

## Eta products on $X_{0}(18)$

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Applying the corollary about ords, we find:

$$
\left[\begin{array}{cccccc}
1 & 2 & 3 & 6 & 9 & 18 \\
2 & 1 & 6 & 3 & 18 & 9 \\
1 & 2 & 3 & 6 & 1 & 2 \\
2 & 1 & 6 & 3 & 2 & 1 \\
9 & 18 & 3 & 6 & 1 & 2 \\
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\end{array}\right] \cdot\left[\begin{array}{c}
r_{1} \\
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\end{array}\right]=\left[\begin{array}{c}
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$$

Note: There are two cusps with $d=3$ and two with $d=6$.
Let $f=\frac{\eta_{2}^{2} \eta_{9}}{\eta_{1} \eta_{18}^{2}}$.
By Ligozat, it is a legitimate function, and by above it has divisor $(1 / 2)-(\infty)$. Hence it is a parameter on this genus 0 curve.

Moreover, the values of $f^{24}$ at the $d=18,6,3$, and 2 cusps are $3^{24}, 3^{12}, 3^{12}$, and 1 (respectively, up to a root of unity). These values can be easily verified (in this case) by choosing eta products which vanish at the other cusps, and then comparing with $f$.

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x=\frac{\eta_{1}^{2} \eta_{6} \eta_{9}}{\eta_{2} \eta_{3} \eta_{18}^{2}} \quad(x)=(0)-(\infty) \quad x=f-3
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y=\frac{\eta_{2} \eta_{3}^{6}}{\eta_{1}^{2} \eta_{6}^{2} \eta_{18}^{3}} \quad(y)=c_{6,1}+c_{6,2}-2(\infty) \quad y=f^{2}+3
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z=\frac{\eta_{1} \eta_{6}^{8} \eta_{9}^{3}}{\eta_{2}^{2} \eta_{3}^{4} \eta_{18}^{6}} \quad(z)=c_{3,1}+c_{3,2}-2(\infty) \quad z=f^{2}-3 f+3
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z=\frac{\eta_{1} \eta_{6}^{8} \eta_{9}^{3}}{\eta_{2}^{2} \eta_{3}^{4} \eta_{18}^{6}} \quad(z)=c_{3,1}+c_{3,2}-2(\infty) & z=f^{2}-3 f+3 \\
w=\frac{\eta_{6} \eta_{9}^{3}}{\eta_{3} \eta_{18}^{3}} \quad(w)=(1 / 9)-(\infty) & w=f-1
\end{array}
$$

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Answer: There are. Philosophically, Ligozat works in different weights, and we can apply the $\theta$ operator. Weight 0 to weight 2 (functions to differentials) is especially straightforward.

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Theorem 4: (Shimura) Let $f$ be a form of even weight $k$. Let $\nu=f(z)(\mathrm{d} z)^{k / 2}$. Then

$$
\operatorname{Div}(f)=\operatorname{Div}(\nu)+(k / 2) \cdot\left(\sum\left(1-e_{i}^{-1}\right) P_{i}+c u s p s\right)
$$

where $\left\{P_{i}\right\}$ are the elliptic points of order $e_{i}$.

## A Nice Example Involving $X_{0}(11)$

Doing the usual thing, we find that $t=\eta_{1}^{12} / \eta_{11}^{12}$ is a legitimate function with divisor $5(0)-5(\infty)$. We also get a weight two cusp form by taking $\left(\eta_{1} \eta_{11}\right)^{2}$. Using the preceding theorem, its divisor (as a form) is ( 0 ) $+(\infty)$.

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There aren't any elliptic points. So the function,

$$
x:=\frac{\mathrm{d} t / t}{\left(\eta_{1} \eta_{11}\right)^{2}}
$$

has degree 2, with a simple pole at each cusp. This implies that $x$ is a parameter on $X_{0}(11)^{+}:=X_{0}(11) / w_{11}$. By comparing $q$-expansions, we find:
$t^{2}+\frac{1}{5^{5}}\left(x^{5}+170 x^{4}+9345 x^{3}+167320 x^{2}-7903458\right) t+11^{6}=0$.

## Remark:

This reduces mod 11 to

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t^{2}+(x-2)^{2}(x+3)^{3} t=0
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By letting

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y=\frac{2 \cdot 5^{5} t+\left(x^{5}+170 x^{4}+9345 x^{3}+167320 x^{2}-7903458\right)}{(x+47)\left(x^{2}+89 x+1424\right)}
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we arrive at the "nicer" model:

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y^{2}=(x-8)\left(x^{3}+76 x^{2}-8 x+188\right)
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Note: $\pi_{1}^{*} j=\frac{\left(60 y+61 x^{2}+864 x-2016\right)^{3}}{5^{6} t}$.

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(2) Using (1), we have nice formulas for everything you'd want to know about eta products.
(3) Eta products can be used to get really nice explicit models for $X_{0}(N)$, even if $N=p$.

## Part III

## Implementation

## Eta Product Package Wish List

Easy: (just derived the formulas)

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Slightly Harder: (just a pain)
(4) Basis for eta products (as a $\mathbb{Z}$-module).
(5) Eta products of minimal degree.
(6) Equations relating choice of finitely many eta products.

## Basis Calculation for $X_{0}(18)$

Ligozat condition is equivalent to a system of $(2+p(N))$ homogeneous linear congruences mod 24, in $(d(N)-1)$ variables (where $p(N)$ is the number of primes dividing $N$ ). Simple Gaussian elimination should give a basis over $\mathbb{Z} / 24 \mathbb{Z}$ and then over $\mathbb{Z}$.

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| $r_{1}$ | $+2 r_{2}$ | $+3 r_{3}$ | $+6 r_{6}$ | $+9 r_{9}$ | $+18 r_{18}$ | $\equiv 0$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $18 r_{1}$ | $+9 r_{2}$ | $+6 r_{3}$ | $+3 r_{6}$ | $+2 r_{9}$ | $(\bmod 24)$ |  |
| $12 r_{1}$ |  | $+12 r_{3}$ |  | $\equiv 0$ | $(\bmod 24)$ |  |
|  |  | $12 r_{3}$ | $+12 r_{6}$ |  |  | $\equiv 0$ |
|  |  |  |  |  |  |  |
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& +12 r_{3} & & & & \equiv 0 & (\bmod 24) \\
12 r_{3} & +12 r_{6} & & & \\
& {\left[\begin{array}{ccccc}
-17 & -16 & -15 & -12 & -9 \\
17 & 8 & 5 & 2 & 1 \\
12 & 0 & 12 & 0 & 12 \\
0 & 0 & 12 & 12 & 0
\end{array}\right]} & &
\end{array}
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On $X_{0}(26)$, the eta product divisor matrix is:

$$
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1 & 2 & 13 & 26 \\
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\end{array}\right] \cdot\left[\begin{array}{c}
r_{1} \\
r_{2} \\
r_{13} \\
r_{26}
\end{array}\right]=\left[\begin{array}{c}
\operatorname{ord}(d=1) \\
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Initially, we choose the following two eta products:

$$
\begin{array}{ll}
t=\frac{\eta_{2}^{2} \eta_{13}^{2}}{\eta_{26}^{2} \eta_{1}^{2}} & (t)=(1 / 2)+(1 / 13)-(0)-(\infty) \\
u=\frac{\eta_{2}^{4} \eta_{13}^{2}}{\eta_{26}^{4} \eta_{1}^{2}} & (u)=3(1 / 2)-3(\infty)
\end{array}
$$

Since $u=13$ at the cusp 0 , we let $v=t(u-13)$ and must have:

$$
\begin{aligned}
a_{1} u^{4}+a_{2} v^{3}+ & a_{3} v^{2} u+a_{4} v u^{2}+a_{5} u^{3}+ \\
& a_{6} v^{2}+a_{7} u v+a_{8} u^{2}+a_{9} v+a_{10} u+a_{11}=0
\end{aligned}
$$

By comparing $q$-expansions, we find:

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u^{4}-v^{3}+4 u v^{2}+4 u^{2} v-27 u^{3}-52 u v+195 u^{2}-169 u=0 .
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Remark: $\pi_{1}^{*}\left(\eta_{1}^{2} / \eta_{13}^{2}\right)=u / t^{2}$ and $\pi_{2}^{*}\left(\eta_{1}^{2} / \eta_{13}^{2}\right)=u / t$.

