Eta Products and Models for Modular Curves

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"Are we not drawn onward, we few, drawn onward to new era?"

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Each family defines a rigid map from the disk $|q| < \epsilon$ into $X_0(N)$ which takes q = 0 to one of the cusps $(z \rightarrow z^{\text{gcd}(d,N/d)})$ followed by an injection). Note: it is easy to check when two families represent the same cusp (there are $\phi(\text{gcd}(d, N/d))$ different ones of width d).

Lemma: $(\mathbb{C}_{\rho}^*/q^d, \langle \zeta q \rangle) \sim (\mathbb{C}_{\rho}^*/q_1^d, \langle \zeta q_1 \rangle)$ if and only if $q^{\gcd(d,N/d)} = q_1^{\gcd(d,N/d)}$.

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Pf/ Let λ be a primitive N^{th} root of unity. So $\zeta = \lambda^{jN/r}$ for some *j* with (j, r) = 1. Then the two elliptic curves are isomorphic if and only if $q_1 = (\lambda)^{kN/d} q$ for some *k*.

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First suppose $\langle \lambda^{jN/r} q \rangle = \langle \lambda^{jN/r} \lambda^{kN/d} q \rangle$. Then

$$jN/r = (jN/r + kN/d)(1 + ld) + mN$$

$$0 = kN/d + ldjN/r + ldkN/d + mN.$$

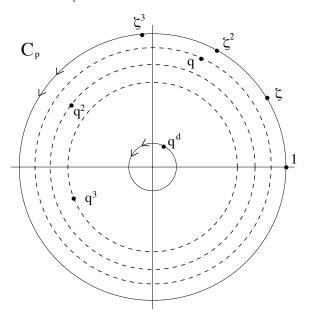
Thus, we see that d|(kN/d). So

$$\frac{d}{\gcd(d,N/d)}$$
 k.

Therefore $q_1^{\gcd(d,N/d)} = \lambda^{kN \gcd(d,N/d)/d} q^d = q^d$.

The other direction is similar.

The Tate Curve \mathbb{C}_p^*/q^d with Some of its *N*-torsion



Definition of Modular Forms

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So at this point, we've defined *q*-expansions associated to families of Tate curves, based on the moduli-theoretic definition of modular forms. **Why?**

Pullbacks by Level-Lowering Maps

Suppose that $M\ell|N$. It is well-known that we have maps, $\pi_\ell: X_0(N) \to X_0(M)$, defined by

 $\pi_{\ell}(E,C) = (E/C[\ell], C[M\ell]/C[\ell]).$

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Definition: Let *f* be a weight *k* modular form for $\Gamma_0(M)$, with $M\ell | N$ as above. Then we define

$$(\pi_\ell^* f)(E,C) = \iota^*(f(E/C[\ell],C[M\ell]/C[\ell])),$$

where $\iota: E \to E/C[\ell]$ is the canonical isogeny and

$$\iota^*: \Omega_{E/C[\ell]}^{\otimes k} \to \Omega_E^{\otimes k}.$$

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Big Idea: We can use Tate curve calculations to compute the *q*-expansions of $\pi_{\ell}^* f$ in terms of the *q*-expansions of *f*.

Theorem 1: Suppose *r*, *d*, *M*, and ℓ are positive divisors of *N*, such that $M\ell | N$ (so $\pi_{\ell} : X_0(N) \to X_0(M)$) and lcm(d, r) = N. Suppose ζ is a primitive *r*th root of unity. Suppose *f* is any weight *k* modular form for $\Gamma_0(M)$.

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$$f(\mathbb{C}_p^*/(\zeta^{bNg/d}q^{\ell g^2/d}), \langle (\zeta q)^{\ell g/d} \rangle [M]) = f(q) \left(\frac{\mathrm{d} z}{z}\right)^{\otimes k}$$

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then

$$\pi_{\ell}^* f(\mathbb{C}_p^*/q^d, \langle \zeta q \rangle) = f(q) \left(\frac{\ell g}{d}\right)^k \left(\frac{\mathrm{d} z}{z}\right)^{\otimes k}.$$

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$$\pi_{\ell}^{*}f(\mathbb{C}_{p}^{*}/q^{d},\langle\zeta q\rangle)=f(q)\left(\frac{\ell g}{d}\right)^{k}\left(\frac{\mathrm{d}z}{z}\right)^{\otimes k}$$

pf/ We want to compute $\pi_{\ell}^* f$ on $(\mathbb{C}_p^*/q^d, \langle \zeta q \rangle)$ using the definition of π_{ℓ}^* , and in this case $E = \mathbb{C}_p^*/q^d$ and $C = \langle \zeta q \rangle$. So first we apply $\iota : E \to E/C[\ell]$ to get $\mathbb{C}_p^*/\langle q^d, (\zeta q)^{N/\ell} \rangle$. Now use

$$\mathbb{C}_{\rho}^{*}/\langle q^{d}, (\zeta q)^{N/\ell} \rangle \xrightarrow{\cong} \mathbb{C}_{\rho}^{*}/(\zeta^{bNg/d}q^{\ell g^{2}/d}) \qquad z \mapsto z^{\ell g/d}$$

Although we will only use the theorem to pull back forms of level 1 (in particular Δ), the theorem does tell us explicitly how to obtain the *q*-expansions of $\pi_{\ell}^* f$ at any cusp, given the *q*-expansions at the image cusp. (It's just a substitution, since *q* is just a number here!)

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Corollary 1.1: Suppose *f* is a form for $\Gamma_0(1)$ with *q*-expansion f(q). Then the expansion at $(\mathbb{C}_p^*/q^d, \langle \zeta q \rangle)$ of $\pi_\ell^* f$ is given by

$$f(\zeta^{bNg/d}q^{\ell g^2/d})\left(rac{\ell g}{d}
ight)^k.$$

Part II

Eta Products

Ligozat's Criteria

Definition: Let Δ be the usual weight 12 level 1 cusp form, with *q*-expansion $\Delta(q)$. Let $\eta(q) = (\Delta(q))^{1/24}$. So

$$\eta(q) = q^{1/24} \prod_{n=1}^{\infty} (1-q^n).$$

An eta product is an expression of the form $\prod_{\ell \mid N} (\eta(q^\ell))^{r_\ell}$.

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Theorem 2: (Ligozat) An eta product is a weight 0 modular form, i.e. a modular function, on $X_0(N)$, if and only if

(i)
$$\sum r_d = 0$$

(ii) $\sum d \cdot r_d \equiv 0 \pmod{24}$
(iii) $\sum \frac{N}{d} \cdot r_d \equiv 0 \pmod{24}$
(iv) $\prod \left(\frac{N}{d}\right)^{r_d} \in \mathbb{Q}^2$.

q-expansions of Δ pullbacks

Theorem 3: For any $\ell | N, \Delta(q^{\ell})$ is the *q*-expansion at infinity of a weight 12 modular form for $\Gamma_0(N)$. Its *q*-expansion associated to the family, $(\mathbb{C}_p^*/q^d, \langle \zeta q \rangle)$ is given by

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pf/ This is a direct application of Corollary 1.1. The form in question is actually $\ell^{-12}\pi_{\ell}^*(\Delta)$. So from the corollary, its expansion is

$$\ell^{-12}\Delta(\zeta^{bNg/d}q^{\ell g^2/d})\left(\frac{\ell g}{d}\right)^{12}.$$

Corollary 3.1: The leading term of the *q*-expansion of any delta product (associated to a fixed family) is given by

$$\prod_{\ell \mid N} \left(\zeta^{bNg/d} q^{\ell g^2/d} \right)^{r_\ell} \left(\frac{g}{d} \right)^{12r_\ell}.$$

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In particular, the ord at the corresponding cusp of the corresponding **eta** product is

$$\frac{1}{24 \gcd(d, N/d)} \sum_{\ell \mid N} \frac{\ell \cdot r_{\ell}}{d} (\gcd(d, N/\ell))^2.$$

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Moreover, when the ord is 0 at a particular cusp, the **value** of the corresponding delta product is given (up to an r^{th} root of unity) by

$$\prod_{\ell|N} (\frac{1}{d} \operatorname{gcd}(d, N/\ell))^{12r_{\ell}}.$$

Eta products on $X_0(18)$

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Applying the corollary about ords, we find:

$$\begin{bmatrix} 1 & 2 & 3 & 6 & 9 & 18 \\ 2 & 1 & 6 & 3 & 18 & 9 \\ 1 & 2 & 3 & 6 & 1 & 2 \\ 2 & 1 & 6 & 3 & 2 & 1 \\ 9 & 18 & 3 & 6 & 1 & 2 \\ 18 & 9 & 6 & 3 & 2 & 1 \end{bmatrix} \cdot \begin{bmatrix} r_1 \\ r_2 \\ r_3 \\ r_6 \\ r_9 \\ r_{18} \end{bmatrix} = \begin{bmatrix} \operatorname{ord}(d=1) \\ \operatorname{ord}(d=2) \\ \operatorname{ord}(d=3) \\ \operatorname{ord}(d=6) \\ \operatorname{ord}(d=9) \\ \operatorname{ord}(d=18) \end{bmatrix}$$

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Note: There are **two** cusps with d = 3 and **two** with d = 6.

Let
$$f = \frac{\eta_2^2 \eta_9}{\eta_1 \eta_{18}^2}$$
.

By Ligozat, it is a legitimate function, and by above it has divisor $(1/2) - (\infty)$. Hence it is a parameter on this genus 0 curve.

$$x = \frac{\eta_1^2 \eta_6 \eta_9}{\eta_2 \eta_3 \eta_{18}^2} \qquad (x) = (0) - (\infty) \qquad x = f - 3$$

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$$y = \frac{\eta_2 \eta_3^6}{\eta_1^2 \eta_6^2 \eta_{18}^3}$$
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$$z = \frac{\eta_1 \eta_6^6 \eta_9^3}{\eta_2^2 \eta_3^4 \eta_{18}^6} \qquad (z) = c_{3,1} + c_{3,2} - 2(\infty) \qquad z = f^2 - 3f + 3$$

Moreover, the values of f^{24} at the d = 18, 6, 3, and 2 cusps are $3^{24}, 3^{12}, 3^{12}$, and 1 (respectively, up to a root of unity). These values can be easily verified (in *this* case) by choosing eta products which vanish at the other cusps, and then comparing with *f*.

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$$w = \frac{\eta_6 \eta_9^3}{\eta_3 \eta_{18}^3}$$
 $(w) = (1/9) - (\infty)$ $w = f - 1$

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Theorem 4: (Shimura) Let *f* be a form of even weight *k*. Let $\nu = f(z)(dz)^{k/2}$. Then

$$\mathsf{Div}(f) = \mathsf{Div}(\nu) + (k/2) \cdot \left(\sum (1 - e_i^{-1}) P_i + cusps \right),$$

where $\{P_i\}$ are the elliptic points of order e_i .

A Nice Example Involving $X_0(11)$

Doing the usual thing, we find that $t = \eta_1^{12}/\eta_{11}^{12}$ is a legitimate function with divisor $5(0) - 5(\infty)$. We also get a weight *two* cusp form by taking $(\eta_1\eta_{11})^2$. Using the preceding theorem, its divisor (as a form) is $(0) + (\infty)$.

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There aren't any elliptic points. So the function,

$$x:=\frac{\mathrm{d}t/t}{(\eta_1\eta_{11})^2},$$

has degree 2, with a simple pole at each cusp. This implies that x is a parameter on $X_0(11)^+ := X_0(11)/w_{11}$. By comparing q-expansions, we find:

$$t^{2} + \frac{1}{5^{5}}(x^{5} + 170x^{4} + 9345x^{3} + 167320x^{2} - 7903458)t + 11^{6} = 0.$$

Remark:

This reduces mod 11 to

$$t^2 + (x-2)^2(x+3)^3t = 0.$$

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$$y = \frac{2 \cdot 5^5 t + (x^5 + 170x^4 + 9345x^3 + 167320x^2 - 7903458)}{(x + 47)(x^2 + 89x + 1424)}$$

we arrive at the "nicer" model:

$$y^2 = (x - 8)(x^3 + 76x^2 - 8x + 188).$$

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Note: $\pi_1^* j = \frac{(60y + 61x^2 + 864x - 2016)^3}{5^6 t}.$

Conclusions:

(1) It's fairly straightforward to compute the *q*-expansion of the pullback of a modular form for $\Gamma_0(N)$ via π_ℓ^* in terms of the expansion at the appropriate image cusp.

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(2) Using (1), we have nice formulas for everything you'd want to know about eta products.

(3) Eta products can be used to get really nice explicit models for $X_0(N)$, even if N = p.

Part III

Implementation

Easy: (just derived the formulas)

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- (1) Ligozat check
- (2) Matrix that converts exponent lists to cuspidal divisors
- (3) Value of delta product at any cusp not in the support

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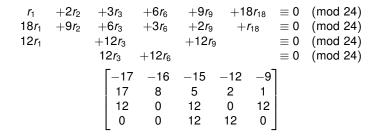
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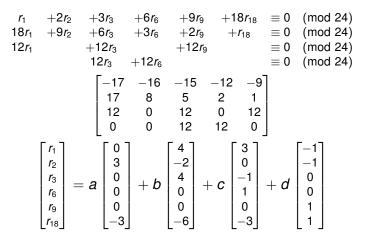
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Slightly Harder: (just a pain)

- (4) Basis for eta products (as a \mathbb{Z} -module).
- (5) Eta products of minimal degree.
- (6) Equations relating choice of finitely many eta products.

<i>r</i> ₁	+2 <i>r</i> ₂	+3 <i>r</i> ₃	+6 <i>r</i> ₆	+9 <i>r</i> 9	+18r ₁₈	$\equiv 0$	(mod 24)
18 <i>r</i> 1	+9 <i>r</i> ₂	+6 <i>r</i> ₃	+3 <i>r</i> ₆	+2 <i>r</i> 9	$+r_{18}$	$\equiv 0$	(mod 24)
12 <i>r</i> 1		+12 <i>r</i> ₃		+12 <i>r</i> 9		$\equiv 0$	(mod 24)
		12 <i>r</i> ₃	+12 <i>r</i> ₆			$\equiv 0$	(mod 24)





Using eta products to find an equation on $X_0(26)$

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On $X_0(26)$, the eta product divisor matrix is:

$$\begin{bmatrix} 1 & 2 & 13 & 26 \\ 2 & 1 & 26 & 13 \\ 13 & 26 & 1 & 2 \\ 26 & 13 & 2 & 1 \end{bmatrix} \cdot \begin{bmatrix} r_1 \\ r_2 \\ r_{13} \\ r_{26} \end{bmatrix} = \begin{bmatrix} \operatorname{ord}(d=1) \\ \operatorname{ord}(d=2) \\ \operatorname{ord}(d=13) \\ \operatorname{ord}(d=26) \end{bmatrix}$$

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Initially, we choose the following two eta products:

$$t = \frac{\eta_2^2 \eta_{13}^2}{\eta_{26}^2 \eta_1^2} \qquad (t) = (1/2) + (1/13) - (0) - (\infty)$$
$$u = \frac{\eta_2^4 \eta_{13}^2}{\eta_{26}^4 \eta_1^2} \qquad (u) = 3(1/2) - 3(\infty)$$

$$a_1u^4 + a_2v^3 + a_3v^2u + a_4vu^2 + a_5u^3 + a_6v^2 + a_7uv + a_8u^2 + a_9v + a_{10}u + a_{11} = 0.$$

By comparing *q*-expansions, we find:

$$u^4 - v^3 + 4uv^2 + 4u^2v - 27u^3 - 52uv + 195u^2 - 169u = 0.$$

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Finally, with x = t and $y = 2u - t^3 + 4t^2 + 4t - 1$ we arrive at:

$$y^2 = x^6 - 8x^5 + 8x^4 - 18x^3 + 8x^2 - 8x + 1.$$

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Remark: $\pi_1^*(\eta_1^2/\eta_{13}^2) = u/t^2$ and $\pi_2^*(\eta_1^2/\eta_{13}^2) = u/t$.